



# Assessing the magnitude of PM<sub>2.5</sub> polycyclic aromatic hydrocarbon emissions from residential solid fuel combustion and associated health hazards in South Asia

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## ABSTRACT

In South Asia, combustion of solid fuel for residential heating and cooking is a major emission source of particulate-phase polycyclic aromatic hydrocarbons (*p*-PAHs), a potent carcinogen for human health. The emission factors (EFs) and source diagnostic ratios of PAHs currently used in regional inventory models have been estimated from controlled laboratory tests, which do not accurately reflect real-world combustion scenarios observed in rural Indian households. Consequently, the health effects associated with *p*-PAH levels in indoor and ambient air could be severely underestimated and undervalued. We performed a nationwide study across ten different states in the Indian subcontinent to evaluate the EFs and source diagnostic ratios of sixteen U.S. Environmental Protection Agency (EPA) identified high priority *p*-PAHs emitted from residential solid biomass combustion. Our estimated average annual EFs were 2.4–18.3 fold higher than those reported from previous laboratory-based investigations. Carcinogenic toxicity analysis shows that combustion of dung cake and coal ball, both widely used residential solid fuels, posed the most risk (80% and 59% respectively) in comparison to other PAHs owing to predominant emission of benzo[*a*]pyrene. Our findings underscore the importance of improved laboratory testing and field validations as crucial steps toward more accurate emission inventories and better assessment of public health impacts.

## 1. Introduction

A large portion of the population in developing countries depends on unprocessed solid fuels (coal balls, fuel wood, dung cake, and crop residues), with unvented stoves, for household cooking and heating. Emissions of fine particulate matter (<PM<sub>2.5</sub>), trace gases, and

polycyclic aromatic hydrocarbons (PAHs) contribute to local and region pollution and adverse health effects (Bond et al., 2004; Zhang and Tao, 2009).

PAHs are a class of organic compounds that originate from both petrogenic (e.g. vehicle exhausts, incinerators and power generation plants) and pyrogenic (incomplete combustion of fossil fuel and

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**Table 1**  
State wise average Emission Factor and standard deviation of 16 PAH (mg.kg<sup>-1</sup>) separated by five fuel types; coal balls (CB), fuel wood (FW), dung cakes (DC), crop residues (CR), mixed fuels: dung cakes + fuel wood (MF) during real-world household cooking practices in eleven (11) locations across the ten different States of India.

| Fuel type       | Sampling locations               | PAHs (mg.kg <sup>-1</sup> ) |             |             |            |             |             |             |            |             |               |             |             |             |             |             |            |               |
|-----------------|----------------------------------|-----------------------------|-------------|-------------|------------|-------------|-------------|-------------|------------|-------------|---------------|-------------|-------------|-------------|-------------|-------------|------------|---------------|
|                 |                                  | Naph                        | Ace         | Anth        | Flu        | Phe         | B[a]A       | Flt         | Pyr        | Chry        | B[a]P         | D[ah]A      | B[b]F       | B[k]F       | B[ghi]P     | I(cd)P      | I(cd)F     | Σp-PAHs       |
| CB              | SLH(CG)                          | 39.0 ± 16.1                 | 7.1 ± 5.0   | 8.1 ± 5.2   | 5.9 ± 5.6  | 10.3 ± 5.7  | 12.1 ± 11.2 | 15.3 ± 10.2 | 4.5 ± 2.9  | 7.2 ± 3.9   | 26.02 ± 15.22 | 10.7 ± 4.7  | 32.4 ± 25.7 | 12.0 ± 5.8  | 9.7 ± 6.8   | 9.5 ± 6.4   | 8.4 ± 6.2  | 218.3 ± 100.4 |
|                 |                                  | 23.4 ± 6.4                  | 5.9 ± 3.3   | 12.1 ± 7.1  | 6.6 ± 2.1  | 23.3 ± 14.3 | 20.0 ± 7.2  | 16.5 ± 9.9  | 8.6 ± 5.2  | 11.6 ± 7.4  | 47.72 ± 23.36 | 16.6 ± 13.2 | 49.4 ± 19.9 | 26.9 ± 10.9 | 12.4 ± 6.6  | 22.4 ± 7.7  | 8.7 ± 4.2  | 312.1 ± 105.6 |
|                 | Avg.                             | 28.9 ± 14.0                 | 5.2 ± 3.9   | 8.1 ± 6.1   | 5.2 ± 3.9  | 12.8 ± 12.3 | 11.9 ± 9.7  | 13.6 ± 9.3  | 5.2 ± 4.5  | 8.1 ± 5.9   | 31.8 ± 21.6   | 11.5 ± 9.7  | 35.1 ± 23.1 | 16.5 ± 11.3 | 9.4 ± 6.4   | 12.9 ± 9.5  | 6.9 ± 4.9  | 231.5 ± 114.7 |
| FW              | PNBE(BR)                         | 22.1 ± 9.5                  | 9.3 ± 6.2   | 23.2 ± 6.9  | ND         | 14.0 ± 5.4  | ND          | 22.9 ± 8.1  | 11.0 ± 8.4 | ND          | ND            | 5.6 ± 4.2   | ND          | ND          | 4.2 ± 1.6   | 2.6 ± 1.2   | 2.9 ± 1.5  | 134.2 ± 28.1  |
|                 | JP(RJ)                           | 18.7 ± 7.7                  | 11.4 ± 7.3  | 18.5 ± 15.9 | ND         | 21.4 ± 17.6 | ND          | 48.9 ± 38.5 | 15.6 ± 9.3 | ND          | ND            | 7.5 ± 3.9   | ND          | ND          | 11.0 ± 4.8  | 7.5 ± 5.3   | 5.2 ± 2.7  | 171.7 ± 88.7  |
|                 | CNB(UP)                          | 36.9 ± 35.9                 | 14.8 ± 5.3  | 23.1 ± 19.1 | ND         | 16.3 ± 15.3 | ND          | 19.5 ± 8.0  | 18.9 ± 9.2 | ND          | ND            | 12.9 ± 10.5 | ND          | ND          | 19.9 ± 15.4 | 7.9 ± 4.3   | 4.8 ± 1.2  | 198.4 ± 113.2 |
|                 | HYB(TG)                          | 25.7 ± 16.4                 | 9.77 ± 7.5  | 17.1 ± 10.3 | ND         | 10.4 ± 7.0  | ND          | 35.8 ± 14.8 | 13.4 ± 9.3 | ND          | ND            | 5.8 ± 5.1   | ND          | ND          | 15.5 ± 11.2 | 9.9 ± 7.8   | 4.6 ± 4.1  | 159.1 ± 79.7  |
|                 | FZP(PB)                          | 20.4 ± 13.2                 | 7.4 ± 5.4   | 9.6 ± 6.8   | ND         | 20.9 ± 15.2 | ND          | 19.2 ± 14.6 | 10.3 ± 8.5 | ND          | ND            | 8.9 ± 4.1   | ND          | ND          | 12.018.5    | 6.4 ± 4.3   | 5.7 ± 2.8  | 129.1 ± 73.1  |
|                 | RE(HR)                           | 41.4 ± 23.9                 | 4.1 ± 5.9   | 6.6 ± 5.5   | ND         | 12.2 ± 6.1  | ND          | 14.6 ± 9.9  | 10.2 ± 8.1 | ND          | ND            | 5.0 ± 3.9   | ND          | ND          | 10.4 ± 7.2  | 4.2 ± 3.4   | 4.1 ± 3.3  | 146.0 ± 90.6  |
|                 | BBS(OR)                          | 50.8 ± 22.3                 | 10.9 ± 7.6  | 20.8 ± 15.2 | ND         | 24.7 ± 19.5 | ND          | 20.9 ± 15.8 | 7.3 ± 3.9  | ND          | ND            | 7.1 ± 2.6   | ND          | ND          | 18.1 ± 6.8  | 5.5 ± 1.0   | 6.1 ± 3.9  | 211.5 ± 106.5 |
|                 | R(CG)                            | 26.4 ± 10.4                 | 8.6 ± 4.4   | 11.4 ± 6.4  | ND         | 16.8 ± 10.8 | ND          | 23.3 ± 8.7  | 4.1 ± 1.6  | ND          | ND            | 6.2 ± 4.6   | ND          | ND          | 9.9 ± 3.8   | 4.7 ± 0.8   | 2.2 ± 1.4  | 133.1 ± 36.9  |
|                 | Avg.                             | 24.5 ± 20.4                 | 6.9 ± 6.3   | 12.1 ± 12.1 | ND         | 13.1 ± 12.5 | ND          | 19.2 ± 18.8 | 8.6 ± 8.1  | ND          | ND            | 5.8 ± 5.3   | ND          | ND          | 10.2 ± 8.9  | 4.8 ± 4.3   | 3.5 ± 2.8  | 108.7 ± 99.5  |
|                 | DC                               | PNBE(BR)                    | 13.3 ± 9.7  | ND          | 14.7 ± 8.3 | ND          | 1.6 ± 1.3   | ND          | 5.2 ± 5.1  | 1.6 ± 1.5   | ND            | 52.2 ± 16.1 | 7.4 ± 3.7   | 62.6 ± 42.4 | 3.4 ± 1.6   | 9.8 ± 3.4   | 15.2 ± 7.9 | 10.0 ± 7.5    |
| JP(RJ)          |                                  | 30.3 ± 19.1                 | ND          | 18.7 ± 7.9  | ND         | 2.2 ± 0.8   | ND          | 9.7 ± 8.9   | 0.9 ± 0.5  | ND          | 98.9 ± 50.7   | 11.9 ± 7.7  | 64.4 ± 33.6 | 3.9 ± 1.7   | 12.7 ± 6.6  | 30.9 ± 25.6 | 6.2 ± 2.6  | 284.1 ± 125.7 |
| CNB(UP)         |                                  | 18.9 ± 15.3                 | ND          | 13.0 ± 7.7  | ND         | 1.8 ± 0.9   | ND          | 5.7 ± 1.6   | 1.3 ± 1.1  | ND          | 66.3 ± 41.7   | 13.5 ± 2.1  | 32.7 ± 21.1 | 2.1 ± 1.2   | 6.4 ± 2.7   | 15.6 ± 2.1  | 5.6 ± 3.3  | 180.6 ± 81.7  |
| HYB(TG)         |                                  | 16.4 ± 9.7                  | ND          | 14.1 ± 11.9 | ND         | 1.9 ± 0.9   | ND          | 6.6 ± 3.9   | 1.6 ± 1.1  | ND          | 81.9 ± 57.5   | 15.7 ± 9.5  | 54.9 ± 32.6 | 2.3 ± 0.3   | 13.2 ± 8.4  | 25.0 ± 10.8 | 15.3 ± 7.3 | 225.0 ± 107.7 |
| FZP(PB)         |                                  | 15.7 ± 8.4                  | ND          | 25.2 ± 23.3 | ND         | 0.5 ± 0.2   | ND          | 5.6 ± 2.9   | 1.2 ± 0.5  | ND          | 72.2 ± 38.2   | 5.5 ± 3.9   | 22.7 ± 21.0 | 0.7 ± 0.3   | 16.9 ± 5.8  | 19.5 ± 17.4 | 6.1 ± 5.4  | 181.9 ± 83.8  |
| RE(HR)          |                                  | 29.9 ± 22.1                 | ND          | 26.9 ± 12.3 | ND         | 2.0 ± 1.5   | ND          | 10.5 ± 7.3  | 1.2 ± 0.8  | ND          | 111.8 ± 38.2  | 20.9 ± 12.2 | 34.3 ± 15.5 | 1.1 ± 0.6   | 23.0 ± 20.9 | 18.4 ± 5.3  | 11.8 ± 5.4 | 291.6 ± 121.9 |
| BBS(OR)         |                                  | 18.8 ± 16.1                 | ND          | 22.5 ± 12.5 | ND         | 1.5 ± 0.7   | ND          | 11.6 ± 9.3  | 1.3 ± 0.5  | ND          | 45.9 ± 27.6   | 4.9 ± 3.6   | 21.9 ± 8.3  | 0.9 ± 0.4   | 15.1 ± 7.9  | 16.9 ± 7.7  | 13.0 ± 8.6 | 163.1 ± 88.9  |
| R(CG)           |                                  | 14.8 ± 13.6                 | ND          | 11.9 ± 7.2  | ND         | 0.7 ± 0.5   | ND          | 11.8 ± 6.8  | 1.9 ± 1.4  | ND          | 23.8 ± 9.3    | 13.4 ± 3.7  | 30.5 ± 17.1 | 1.2 ± 0.8   | 14.4 ± 7.1  | 19.2 ± 14.8 | 3.3 ± 0.8  | 139.1 ± 64.3  |
| Avg.            |                                  | 15.1 ± 14.6                 | ND          | 14.8 ± 12.3 | ND         | 1.2 ± 1.0   | ND          | 6.3 ± 6.2   | 1.1 ± 0.9  | ND          | 53.5 ± 43.2   | 9.2 ± 7.9   | 31.9 ± 28.4 | 1.5 ± 1.5   | 11.7 ± 9.6  | 16.5 ± 12.9 | 6.8 ± 6.4  | 169.6 ± 144.9 |
| CR (rice straw) |                                  | VSKP(AP) <sup>a</sup>       | 50.2 ± 38.1 | 9.7 ± 3.3   | 6.6 ± 3.3  | ND          | 8.1 ± 4.7   | 6.1 ± 2.3   | 17.9 ± 6.8 | 5.8 ± 1.5   | 41.1 ± 13.8   | ND          | 4.1 ± 2.1   | 25.2 ± 6.8  | ND          | 2.6 ± 1.2   | 2.3 ± 1.0  | 4.1 ± 2.1     |
|                 | (rice straw) WR(MH) <sup>a</sup> | 31.2 ± 20.1                 | 2.7 ± 1.4   | 2.3 ± 1.5   | ND         | 3.5 ± 1.7   | 3.9 ± 3.9   | 9.5 ± 5.8   | 3.1 ± 2.0  | 29.8 ± 8.1  | ND            | 1.9 ± 1.6   | 12.3 ± 3.6  | ND          | 1.4 ± 0.3   | 0.8 ± 0.2   | 2.4 ± 1.0  | 132.7 ± 65.9  |
|                 | (turr.stik) BYT(CG)**            | 37.9 ± 16.5                 | 7.2 ± 3.1   | 2.8 ± 1.3   | ND         | 7.2 ± 1.6   | 5.5 ± 1.9   | 16.1 ± 8.5  | 4.7 ± 2.8  | 44.8 ± 20.5 | ND            | 2.4 ± 1.1   | 18.7 ± 7.9  | ND          | 2.4 ± 0.7   | 1.2 ± 0.4   | 2.8 ± 2.2  | 187.7 ± 75.9  |

(continued on next page)

Table 1 (continued)

| Fuel type | Sampling locations | PAHs (mg.kg <sup>-1</sup> ) |              |             |     |             |           |             |           |             |               |             |            |           |               |             |             |               |
|-----------|--------------------|-----------------------------|--------------|-------------|-----|-------------|-----------|-------------|-----------|-------------|---------------|-------------|------------|-----------|---------------|-------------|-------------|---------------|
|           |                    | Naph                        | Acc          | Anth        | Flu | Phe         | B[a]A     | Flt         | Pyr       | Chry        | B[a]P         | D[ah]A      | B[b]F      | B[k]F     | B[ghi]P       | I[cd]P      | I[cd]F      | Σp-PAHs       |
| MF        | Avg.               | 33.7 ± 25.5                 | 5.3 ± 3.9    | 3.1 ± 2.9   | ND  | 5.4 ± 3.4   | 4.4 ± 2.8 | 12.7 ± 7.5  | 3.9 ± 2.3 | 36.2 ± 15.1 | ND            | 2.4 ± 1.8   | 17.2 ± 7.9 | ND        | 1.9 ± 0.9     | 1.2 ± 0.9   | 2.5 ± 1.8   | 129.9 ± 76.7  |
|           | PNBE(BR)           | 25.4 ± 15.2                 | 5.5 ± 2.7    | 11.8 ± 11.0 | ND  | 21.0 ± 5.5  | ND        | 10.7 ± 2.6  | 4.3 ± 3.9 | 9.4 ± 8.2   | 12.6 ± 7.5    | 25.5 ± 14.8 | 7.7 ± 3.8  | 4.8 ± 1.6 | 34.8 ± 19.9   | 10.7 ± 9.9  | 7.7 ± 5.5   | 170.9 ± 98.2  |
| JP(RJ)    |                    | 37.7 ± 20.9                 | 12.9 ± 6.1   | 18.2 ± 6.1  | ND  | 24.1 ± 6.6  | ND        | 9.7 ± 3.2   | 3.6 ± 2.5 | 11.6 ± 11.0 | 18.9 ± 7.6    | 27.6 ± 11.5 | 6.5 ± 2.7  | 9.9 ± 4.1 | 28.1 ± 14.1   | 13.7 ± 4.3  | 11.9 ± 4.3  | 210.2 ± 62.1  |
|           | CNB(UF)            | 38.9 ± 20.9                 | 11.6 ± 4.2   | 33.0 ± 12.9 | ND  | 26.3 ± 17.9 | ND        | 19.0 ± 4.5  | 6.9 ± 2.9 | 11.9 ± 3.7  | 19.9 ± 10.5   | 31.4 ± 7.7  | 14.1 ± 6.5 | 7.3 ± 4.6 | 34.5 ± 12.4   | 25.8 ± 21.7 | 15.9 ± 3.2  | 270.1 ± 89.6  |
| HYB(TC)   |                    | 27.7 ± 9.4                  | 22.9 ± 15.4  | 33.6 ± 21.2 | ND  | 12.2 ± 4.2  | ND        | 11.7 ± 6.3  | 3.9 ± 1.7 | 13.2 ± 10.0 | 22.4 ± 10.8   | 21.8 ± 4.3  | 8.2 ± 1.7  | 3.7 ± 1.9 | 42.8 ± 26.2   | 13.2 ± 8.1  | 17.4 ± 11.4 | 242.4 ± 95.5  |
|           | FZP(PB)            | 46.2 ± 30.6                 | 28.4 ± 16.1  | 21.4 ± 14.2 | ND  | 6.1 ± 2.6   | ND        | 16.5 ± 11.1 | 5.3 ± 4.3 | 10.8 ± 7.7  | 12.9 ± 6.9    | 13.8 ± 6.3  | 7.2 ± 4.8  | 1.8 ± 0.4 | 29.6 ± 14.6   | 18.1 ± 7.9  | 11.6 ± 7.9  | 223.5 ± 116.0 |
| RE(HR)    |                    | 35.4 ± 22.5                 | 39.8 ± 9.2   | 32.1 ± 4.9  | ND  | 14.6 ± 5.9  | ND        | 8.9 ± 1.1   | 5.7 ± 1.3 | 9.7 ± 5.4   | 14.1 ± 1.9    | 32.9 ± 5.2  | 8.7 ± 2.2  | 2.3 ± 0.7 | 30.9 ± 14.4   | 16.9 ± 11.5 | 14.2 ± 6.5  | 251.7 ± 35.9  |
|           | BBS(OR)            | 49.9 ± 28.9                 | 25.6 ± 9.0   | 23.1 ± 12.3 | ND  | 10.2 ± 2.3  | ND        | 10.3 ± 8.2  | 5.0 ± 1.7 | 9.5 ± 3.2   | 26.9 ± 12.5   | 23.9 ± 7.8  | 9.0 ± 5.6  | 2.4 ± 0.6 | 47.58 ± 14.91 | 11.7 ± 7.6  | 12.7 ± 8.2  | 257.6 ± 100.1 |
| R(CG)     |                    | 37.7 ± 21.0                 | 9.72 ± 6.2   | 18.7 ± 12.7 | ND  | 9.4 ± 5.6   | ND        | 8.0 ± 3.9   | 4.8 ± 2.3 | 8.1 ± 4.9   | 21.24 ± 10.08 | 18.3 ± 8.9  | 6.8 ± 3.9  | 2.9 ± 0.9 | 23.9 ± 13.3   | 10.9 ± 8.0  | 10.1 ± 8.3  | 181.9 ± 98.1  |
|           | Avg.               | 31.8 ± 20.9                 | 14.60 ± 13.9 | 19.6 ± 13.7 | ND  | 12.9 ± 9.9  | ND        | 10.4 ± 6.4  | 4.3 ± 2.6 | 8.7 ± 6.6   | 16.5 ± 9.3    | 22.1 ± 9.9  | 7.5 ± 4.4  | 3.5 ± 3.4 | 29.7 ± 16.4   | 11.9 ± 11.5 | 10.7 ± 7.1  | 204.2 ± 145.5 |

<sup>a</sup> ND—not detected.

biomass) sources (Ramdahl and Beecher, 1982; Agency for Toxic Substances and Disease Registry, ATSDR, 1995; Takasuga et al., 2007; Boström et al., 2002; Keyte et al., 2013; Shafy and Mansour, 2016). Assessment of ambient PAHs contributions from unprocessed solid fuels with traditional emission inventories presents a challenge due to variations in reported PAH emissions, spanning over several orders of magnitude (e.g., 1–370 µg of PAHs per kilogram of wood) (Ramdahl and Beecher, 1982). The hydrophobicity nature of PAHs shows great affinity to environmental matrices like soil, water, and air (Senthilkumar et al., 2008). In the atmosphere, low molecular weight (2–4 aromatic ring) PAHs are partitioned in the gases/vapour phase, whereas high molecular weight (5–6 aromatic ring) PAHs present in particulate phase. The International Agency for Research on Cancer (IARC, 2006) reported that high molecular weight PAHs (e.g. benzo(a)pyrene) show potential carcinogenic effects on human health, resulting DNA damage by the formation of adducts in organs (e.g. liver, kidney, lungs) (Vineis and Husgafvel-Pursiainen, 2005; Xue and Warshawsky, 2005). Past studies on indoor and outdoor PAHs have focused on health implications and quantitative analysis of 16 high priority PAHs by the United States Environmental Protection Agency (USEPA) (Yan et al., 2005; Kakareka et al., 2005; Tiwari et al., 2015; USEPA, 2014).

Atmospheric particulate-bound PAHs in India, mainly emitted from solid fuel combustion, have become a matter of concern in recent years (Chakraborty et al., 2014; Ray et al., 2017). EFs of PM<sub>2.5</sub>, temperature-resolved thermal fractions of carbonaceous matter (organic and elemental carbon, OC and EC) along with ionic and elemental species, known as source markers of selected solid fuels at 10 different states in India can be found in Pervez et al. (2018). The present work is the second part of the study on real-world PM<sub>2.5</sub> emission characterization of household solid fuel combustion in India, emphasizing the thermal and molecular (PAHs) properties of carbonaceous material at 11 locations in 10 different states of India.

The objectives are to: 1) estimate real-world PM<sub>2.5</sub> particulate PAHs (p-PAHs) EFs from solid fuels combustion and 2) evaluate the toxicity levels of different solid fuels. The EFs of sixteen high-priority PAHs in emitted PM<sub>2.5</sub> were determined. Diagnostic ratios, toxicity levels and annual emission estimates for the five fuel types were calculated.

## 2. Methodology

### 2.1. Field campaign

Household cooking emissions were sampled with minivol PM<sub>2.5</sub> samplers (Airmetrics, Oregon, USA) at 11 sites across 10 states as shown in Supplemental Fig. S1. Five types of solid fuels were selected to represent common cooking practices of India: coal balls (CB), fuel wood (FW), dung cake (DC), crop residues (CR), mixed fuels (MF), a mixture of coal powder with paddy husk soil (10:2:1 ratios), used in Jharkhand and Chhattisgarh states). Details of the study design, site selection, sampling frequency and duration, transportation and preservation of PM<sub>2.5</sub> samples, and associated QA/QC have been documented in Pervez et al. (2018). Sampling was conducted twice a day during morning and evening cooking time using five different types of traditional cook stoves for the period of March–June 2017.

### 2.2. Extraction method

Particulate PAHs were collected on pre-fired (900 °C for 3 h) 47-mm quartz microfiber filters (Whatman QMA) (Chow et al., 1993). One-fourth of the filter was cut into strips and ultrasonically extracted with 50 mL of high-performance liquid chromatography (HPLC) grade dichloromethane (DCM) for 30 min. The extraction procedure was repeated twice to ensure maximum extraction efficiency (Bi et al., 2003; Singh et al., 2013). The extract was evaporated ~5 mL using a rotary evaporator at 30–40 °C prior to a clean-up process. The extract was then loaded on top of the column (10 cm × 1.0 cm id) slurry packed with 5 g

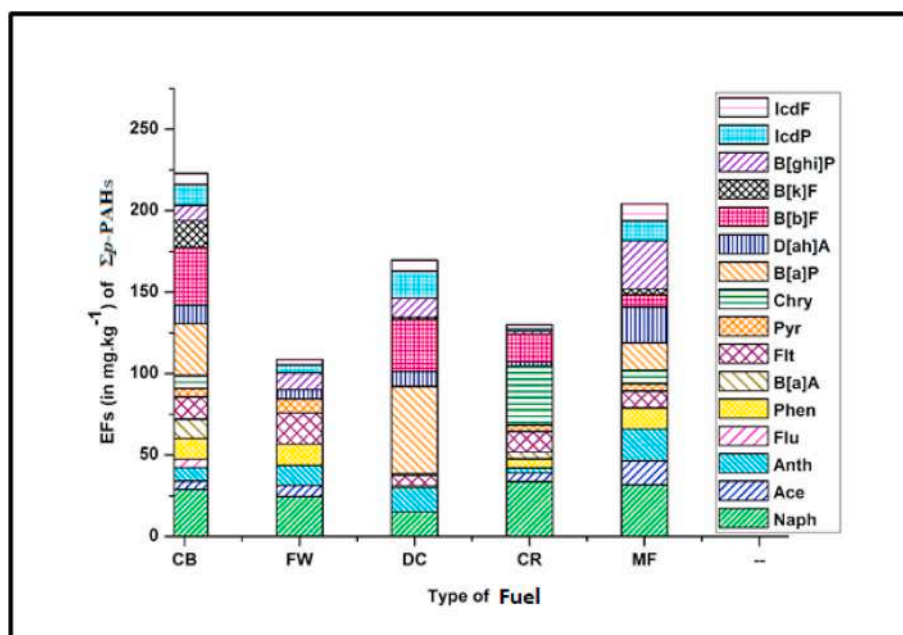


Fig. 1. Averaged Emission Factor ( $\text{mg.kg}^{-1}$ ) of 16 PAHs for burning of coal balls (CB), fuel wood (FW), dung cakes (DC), crop residues (CR), mixed fuels: dung cakes + fuel wood (MF) during real-world household cooking practices in eleven locations across the ten different States of India.

silica gel mesh. The column was eluted with DCM and concentrated to 1 mL under an ultrapure nitrogen gas flow and stored in vials at low temperature ( $-4\text{ }^{\circ}\text{C}$ ) (Singh et al., 2013; Dewangan et al., 2014). The samples were filtered with  $0.45\text{ }\mu\text{m}$  polyvinylidene fluoride (PVDF) syringe (Millipore) prior to injection for analysis. Field blank samples were extracted following the same procedure. Recovery of PAHs was determined by spiking blank filter paper with a known concentration standard.

### 2.3. Gas chromatography –mass spectrometry/mass spectrometry analysis

Particulate PAHs are analysed using an automated GC-MS/MS (GC-Trace 1300; MS- TSQ DUO) equipped with a 30 m long silica capillary column (Thermo Scientific Trace GOLD GC Column, Model TG-5MS) ( $0.25\text{ mm}$  ID, 5% phenylmethylpolysiloxane stationary phase,  $0.25\text{ }\mu\text{m}$  film thickness). Samples were injected ( $0.1\text{ }\mu\text{l}$ ) in split mode (1:10), with the injection port at  $290\text{ }^{\circ}\text{C}$  and an initial oven temperature of  $150\text{ }^{\circ}\text{C}$  for 2 min. The oven temperature was ramped to  $250\text{ }^{\circ}\text{C}$  at  $50\text{ }^{\circ}\text{C}/\text{min}$ , followed by  $10\text{ }^{\circ}\text{C}/\text{min}$  ramp rate to  $300\text{ }^{\circ}\text{C}$  and held at  $300\text{ }^{\circ}\text{C}$  for 7 min. Helium was used as the carrier gas at a  $1.0\text{ mL}/\text{min}$  flow rate. The total ion chromatograms were analysed qualitatively with the aid of the U.S. National Institute of Science and Technology (NIST) 2.0 mass spectral library after background subtraction. Chromatographic peaks with mass spectral match of 80% or greater were retained, while peaks showing significant abundance but  $<80\%$  spectral match were noted by their retention times. For quantitative analysis (U.S.EPA 610, 1984), a mixture of 16 PAH standards ( $1000\text{ }\mu\text{g}/\text{L}$  by Supelco, Sigma-Aldrich) was used to identify the relationships between various fuels and air/fuel ratios (Devangen et al. 2014). Sixteen PAHs were identified and quantified including 2 rings: naphthalene (Naph), 3 rings: acenaphthene (Ace), fluorene (Flu), phenanthrene (Phe), anthracene (Anth), 4rings: fluoranthene (Flt), pyrene (Pyr), benz[a]anthracene (BaA), chrysene (Chry), 5 rings: benzo[b]fluoranthene (BbF), benzo[k]fluoranthene (BkF), benzo[a]pyrene (BaP), dibenz[a,h]anthracene (DahA), 6 rings: benzo[g,h,i]perylene (BghiP), indeno[1,2,3-cd]pyrene (IcdP) and indeno[1,2,3-cd] fluoranthene (IcdF).

### 2.4. Quality assurance/quality control (QA/QC)

Known concentrations of the standard (EPA 625–16 PAHs mix) were added to 10% of the total samples to determine the recovery ratio. The average recoveries range is 70–80% for total PAHs. Both field and solvent blanks were also analysed to ensure adequate QA/QC. An insignificant amount ( $<5\%$ ) of *p*-PAHs was found in the field/solvent blanks. The method detection limit (MDL) has been established as three times the standard deviation of concentration of the target species for seven repeat injections of the lowest concentration of the calibration curve.

### 2.5. Emission factor (EF) and annual emission budget

The method used to calculate PAHs EFs is reported elsewhere (Andreae, and Merlet, 2001; Dhammapala, et al., 2007) and described in Pervez et al. (2018). It is based on the conversion of carbon (C) in the form of carbon dioxide ( $\text{CO}_2$ ) and carbon monoxide (CO), from fuel combustion. The fuel-based EFs can be estimated from in-plume measurements (Moosmuller et al., 2009).

## 3. Results and discussion

### 3.1. Emission factors of PAHs

Table 1 summarises state-wise averaged EFs by fuel types for the 16 PAHs. There are some variabilities in fuel quality depending on the regions; type of sampling, stoves, and cooking environment leads to a range of EFs. Among the four most volatilized PAHs (i.e., Naph, Ace, Anth, and Flu) emissions were detected for all five fuel types with the exception of Ace from dung cake (DC) and Flu, from coal balls (CB). Fig. 1 shows that average emissions varied by 1.7 fold among five fuel types. The highest  $\Sigma p$ -PAHs was found for coal balls of  $244.9 \pm 106\text{ mg kg}^{-1}$ , ranging  $102\text{--}490\text{ mg kg}^{-1}$ , followed by MF  $223.4 \pm 89\text{ mg kg}^{-1}$ , range  $81\text{--}448\text{ mg kg}^{-1}$ ; DC of  $196.1 \pm 91.3\text{ mg kg}^{-1}$  (range:  $70\text{--}384\text{ mg kg}^{-1}$ ), CR  $137.1 \pm 56\text{ mg kg}^{-1}$  (range:  $71\text{--}305\text{ mg kg}^{-1}$ ), and fuel wood (FW)  $127.7 \pm 62.9\text{ mg kg}^{-1}$  (range:  $59\text{--}348\text{ mg kg}^{-1}$ ). Higher *p*-PAH EFs for CB might be due to the higher content of unprocessed carbon fraction (Keene, et al., 2006) and the smouldering combustion condition favouring the condensation of PAHs in particulate phase (Jenkins et al.,

**Table 2**

Comparison of EFs of  $\Sigma$  P-PAHs of 16 USEPA Criteria PAHs, measured for burning of coal balls (CB), fuel wood (FW), dung cakes (DC), crop residues (CR), mixed fuels: dung cakes + fuel woods (MF) with those reported from previous studies. Only reported the EFs of 16 USEPA criteria PAHs for similar types of fuel combustion are included.

| Fuel Type                    | p-PAHs (mg/kg)       | study type                              | References                     |
|------------------------------|----------------------|---|--------------------------------|
| <b>CB (India)</b>            | <b>239.6 ± 114.7</b> | <b>Household combustion –real world</b> | <b>present study</b>           |
| Coal combustion (China)      | 0.85–214             | Residential combustion                  | Shen et al. (2013)             |
| Coal briquettes and Charcoal | 25–100               | Domestic combustion                     | Oanh et al.(1999)              |
| <b>FW</b>                    | <b>141.2 ± 84.7</b>  | <b>Household combustion –real world</b> | <b>Present study</b>           |
|                              | 45.28                | test chamber study                      | Singh et al. (2013)            |
|                              | 43.9 ± 4.3           | test chamber study                      | Gadi et al. (2012)             |
|                              | 24–114               | open burning                            | Keshtkar and Ashbaugh (2007)   |
| Birch Firewood               | 0.2–16               | chamber based study                     | Hedberg et al. (2002)          |
|                              | 2.3                  |   | Venkataraman et al. (2002)     |
| Fireplace/softwood           | 79.8                 |   | McDonald et al., 2000          |
| Fuelwood (Pine)              | 6.9                  |   | Rogge et al. (1998)            |
| FW                           | 6.4–8.9              |   | Smith, 2000                    |
| FW                           | 28                   | test chamber study                      | Ramdahl and Beecher (1982)     |
| <b>DC</b>                    | <b>181.4 ± 102.9</b> | <b>Household combustion –real time</b>  | <b>present study</b>           |
|                              | 56.46                | test chamber study                      | Singh et al. (2013)            |
|                              | 59.7 ± 4.4           | test chamber study                      | Gadi et al. (2012)             |
| <b>CR</b>                    | <b>163.8 ± 91.1</b>  | <b>Household combustion –real world</b> | <b>present study</b>           |
|                              | 35.84                | test chamber study                      | Singh et al. (2013)            |
|                              | 35.9 ± 1.9           | test chamber study                      | Gadi et al. (2012)             |
| sugarcane                    | 8.18 ± 3.26          | chamber study                           | Hall et al. (2012)             |
| Wheat straw                  | 62 ± 35              | test chamber study                      | Shen et al. (2013)             |
|                              | 140                  | test chamber study                      | Keshtkar and Ashbaugh (2007)   |
|                              | 18.6                 | open burning                            | Keshtkar and Ashbaugh (2007)   |
|                              | 240–571              | test chamber study                      | Kakareka and Kukharchyk (2003) |
| <b>MF</b>                    | <b>205.6 ± 90.1</b>  | <b>Household combustion –real world</b> | <b>present study</b>           |

1996). Maximum p-PAHs EFs for each fuel type were found at different location, ranging 266–312 mg kg<sup>-1</sup> these includes: CB at JRI JH. (312.1 ± 105.6 mg kg<sup>-1</sup>); for FW at CNB (UP) (174.6 ± 73.1 mg kg<sup>-1</sup>); for DC at RE (HR) (183.9 ± 53.9 mg kg<sup>-1</sup>); for CR at VSKP (AP) 83.9 ± 53.9 mg kg<sup>-1</sup>; and for MF at RE (HR) (266.2 ± 22.4 mg kg<sup>-1</sup>).

Statistically significant variability with p values < 0.05 at 95% confidence interval was found for all individual PAHs across the fuel types and sampling locations based on two-way ANNOVA (SPSS Ver. 16). Among all fuels  $\Sigma$ p-PAHs EFs, FW showed the highest variability (59.9–174.6 mg kg<sup>-1</sup>) across all the locations.

The coefficient of spatial variations (CV) for  $\Sigma$ p-PAH EFs across the 11 locations, (by dividing the standard deviation to the corresponding grand mean of locations averaged EFs) varied from 40 to 49% among the five fuel types: 49.3% (FW), 46.5% (DC), 43.2% (CB), 41.1% (CR) and 39.8% (MF) (Röösli et al., 2001).

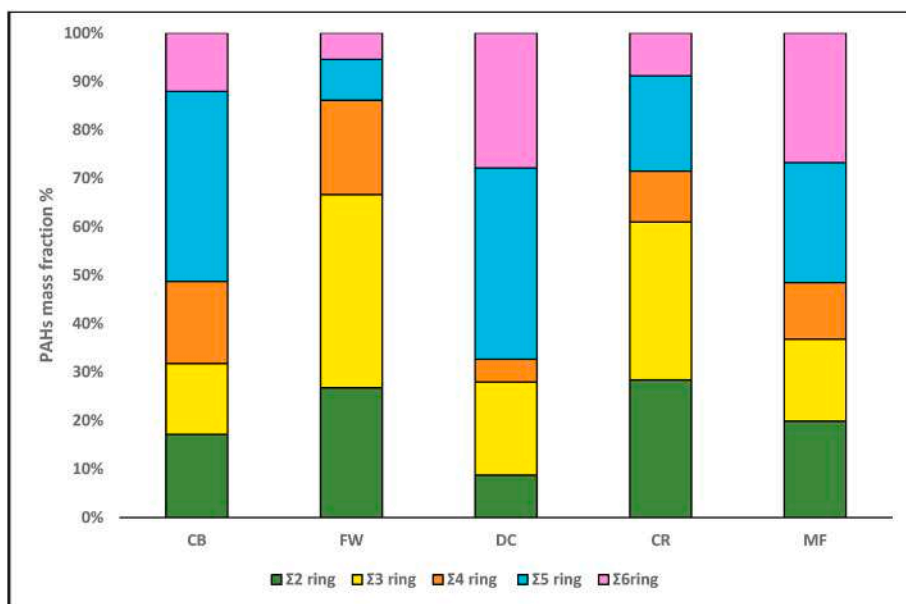
Table 2 compares the  $\Sigma$ p-PAH EFs with previous studies,  $\Sigma$ p-PAHs EF for coal balls (CB) (239.6 ± 114.7 mg kg<sup>-1</sup>) was higher than those reported for residential coal combustion (0.85–214 mg kg<sup>-1</sup>) by Shen et al.

(2013) in China, and more than two-fold higher than the coal briquettes and charcoal cook stove combustion (25–100 mg kg<sup>-1</sup>) by Oanh et al. (1999) in Southeast Asia. When compared with test chamber studies, the average  $\Sigma$ p-PAH EFs for dung cake (DC) (181.4 ± 102.9 mg kg<sup>-1</sup>) was 3.2–3.4 fold higher than 59.7 ± 4.4 mg kg<sup>-1</sup> in Gadi et al. (2012), and 56.46 mg kg<sup>-1</sup> in Singh et al. (2013). Similarly,  $\Sigma$ p-PAH EF for fuel wood (FW) (141.15 ± 84.72 mg kg<sup>-1</sup>) were 1.6–66.5 fold higher than test chamber studies 45.28 mg kg<sup>-1</sup> by Singh et al. (2013); 43.9 ± 4.3 mg kg<sup>-1</sup> by Gadi et al. (2012); 28.0 mg kg<sup>-1</sup> by Ramdahl and Beecher (1982) and 2.3 mg kg<sup>-1</sup> by Venkataraman et al. (2002); and However fuel wood combustion by residential cook stove in India are lower than these of residential furnace (2890 mg kg<sup>-1</sup>) (Kakareka et al., 2005) and hard wood-burning activity (Khalif et al., 2000). In case of crop residue (CR), EFs (163.8 ± 91.1 mg kg<sup>-1</sup>) was similar in magnitude to the test chamber study of 140 mg kg<sup>-1</sup> by Keshtkar and Ashbaugh (2007), but much higher than 62 ± 35 mg kg<sup>-1</sup> by Shen et al. (2013); 35.9 ± 1.9 mg kg<sup>-1</sup> by Gadi et al. (2012), 35.84 mg kg<sup>-1</sup> by Singh et al. (2013) and open burning; 18.6 mg kg<sup>-1</sup> by Keshtkar and Ashbaugh (2007); 8.18 ± 3.26 mg kg<sup>-1</sup> (Hall et al., 2012), EFs for coal balls (CB) showed predominance of high molecular weight PAHs (Hp-PAHs) ranging 8–35 mg kg<sup>-1</sup> with the two highest PAH EFs found for benzo[b]fluoranthene (B[b]F) of 35.1 ± 23.1 mg kg<sup>-1</sup> and benzo[a]pyrene (B[a]P) of 31.8 ± 21.6 mg kg<sup>-1</sup> commonly found in coal combustion other elevated PAH EFs exceeding 10 mg kg<sup>-1</sup> includes B[k]F (16.5 ± 11.3 mg kg<sup>-1</sup>); Flt (13.6 ± 9.3 mg kg<sup>-1</sup>); IcdP(12.9 ± 9.5 mg kg<sup>-1</sup>); B[a]A (11.9 ± 9.7 mg kg<sup>-1</sup>) and D[ah]A (11.5 ± 9.7 mg kg<sup>-1</sup>). Elevated B[ghi]P (9.4 ± 6.4 mg kg<sup>-1</sup>) and, Chry (8.1 ± 5.9 mg kg<sup>-1</sup>) was also found Average EFs of B[a]P for dung cake (DC) 53.5 ± 43.2 mg kg<sup>-1</sup> was 5.2 fold higher than the test chamber studies (Singh et al., 2013). For fuel wood (FW) higher EFs were found for Naph (24.46 ± 20.38 mg kg<sup>-1</sup>); Flt (19.2 ± 18.8 mg kg<sup>-1</sup>); with elevated Phe (13.1 ± 12.5 mg kg<sup>-1</sup>) and B[ghi]P (10.2 ± 8.9 mg kg<sup>-1</sup>), whereas elevated EFs for Naph (33.7 ± 25.5 mg kg<sup>-1</sup>), B[b]F (17.2 ± 7.9 mg kg<sup>-1</sup>), Flt (12.7 ± 7.5 mg kg<sup>-1</sup>) were found in crop residue (CR). Mixed fuel (MF) were dominated by Naph (31.8 ± 20.9 mg kg<sup>-1</sup>), B[ghi]P (29.7 ± 16.4 mg kg<sup>-1</sup>), D[ah]A (22.1 ± 9.9 mg kg<sup>-1</sup>) and Anth (19.6 ± 13.7 mg kg<sup>-1</sup>) different. These variations might be due to the presence of high moisture content, design of cook stoves and burning phase of fuels (Jenkins et al., 1996).

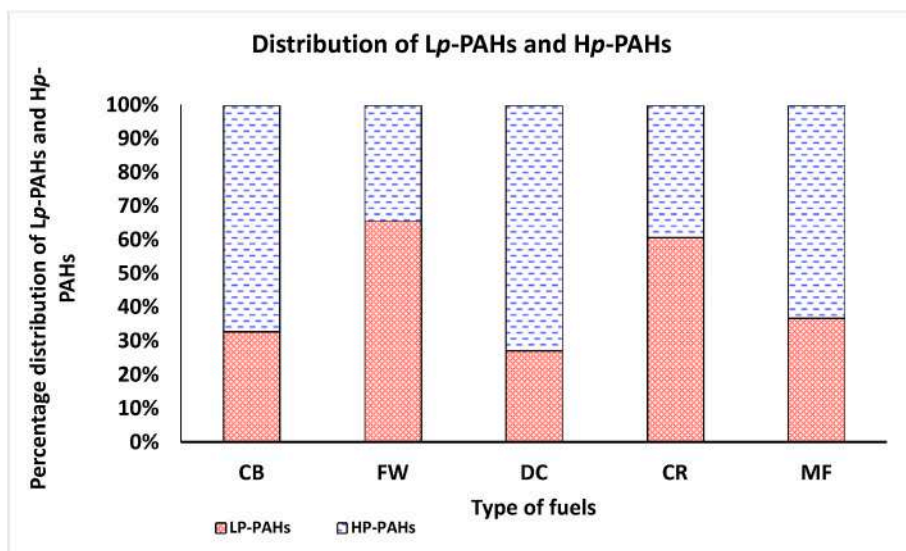
Mass fraction of 2–6 rings PAH to  $\Sigma$ p-PAH is given in Fig. 2. Abundance of EFs low (2–3 rings) and high (4–6 rings) PAHs varied by fuel types with a predominance of the 4–6 ring PAHs for all but fuel wood and crop residue.  $\Sigma$ Hp-PAHs (4–6 ring) emissions were highest in coal balls (CB) (171.34 ± 96.17 mg kg<sup>-1</sup>) whereas  $\Sigma$ Lp-PAHs (2–3 ring) were predominant in CR (77.9 ± 53.9 mg kg<sup>-1</sup>) as shown Table S1. Higher emissions of Hp-PAHs were found from the combustion of coal balls (CB), which might be due to the physical adsorption of PAHs in the particulate phase only (Zou, et al., 2003). Fig. 3 shows the highest Hp-PAHs to total PAHs was 72.7% dung cake followed by 67.2% for coal balls and 63.2% for mixed fuel (MF). Combustion efficiency has been reported to significantly impact emission rates of particulate organics (Gupta et al., 1998; Tissari et al., 2019). Pervez et al. (2018), attributed higher emissions of Hp-PAHs for coal balls, dung cake and mixed fuel with modified combustion efficiency of 0.88–0.99.

### 3.2. Diagnostic ratio

PAHs source diagnostic ratios and binary diagnostic ratios have been used as a tool to categorize and assess the emission sources. These ratios are useful in understanding PAH origins of different environmental media: air (gas + particle phase), water, sediment, soil, as well as bio-monitored organisms such as leaves or coniferous needles, and mussels (Tobiszewski, and Namiesnik, 2012). These ratios distinguish PAHs originating from petroleum products, petroleum combustion, and solid fuel (bio- and fossil fuels) combustions. PAH diagnostic ratios also showed intra-source variability as well as inter-source similarity (Gal-arneau, 2008). EF ratios of eight groups that are commonly found for



**Fig. 2.** Average percentage of 2–6 ring PAHs' mass fractions to  $\Sigma T_p$ -PAHs, as a function of different fuels during real-world household cooking practices in eleven locations across the ten different States of India. 2 rings: naphthalene (Naph), 3 rings: acenaphthene (Ace)+ fluorene (Flu) + phenanthrene (Phe) + anthracene (Anth), 4 rings: fluoranthene (Flt) + pyrene (Pyr) + benz[a]anthracene (BaA) + chrysene (Chry), 5 rings: benzo[b]fluoranthene (BbF) + benzo[k]fluoranthene (BkF) + benzo[a]pyrene (BaP), dibenz[a,h]anthracene (DahA), 6 rings: benzo [g,h,i]perylene (BghiP) + indeno[1,2,3-cd]pyrene (IcdP) + indeno[1,2,3-cd] fluoranthene (IcdF).



**Fig. 3.** Averaged Percentage distribution of Lp-PAHs and Hp-PAHs in T-PAH EFs for burning of different fuels during real-world household cooking practices in eleven locations across the ten different States of India. Lp- PAHs (including 2–3 rings PAHs): naphthalene (Naph), acenaphthene (Ace), fluorene (Flu), phenanthrene (Phe), anthracene (Anth), Hp-PAHs (including 4–6 rings PAHs): fluoranthene (Flt), pyrene (Pyr), benz[a]anthracene (BaA), chrysene(Chry), benzo[b]fluoranthene(BbF),benzo[k]fluoranthene (BkF), benzo[a]pyrene (BaP), dibenz[a,h]anthracene (DahA), benzo[g,h,i]perylene (BghiP), indeno[1,2,3-cd]pyrene (IcdP) and indeno[1,2,3-cd] fluoranthene (IcdF).

fossil fuel and biomass combustion emissions includes: Anth/(Anth + Phe), Flt/Pyr, Flt/(Flt + Pyr), B[a]P/IcdP, B[a]P/B[ghi]P, I(cd)P/B[ghi]P, I(cd)P/(I(cd)P + B[ghi]P) and B[a]A/(B[a]A + Chry) emissions (Rajput et al., 2011; De La Torre-Roche et al., 2009; Bari et al., 2010; Hays et al., 2005; Jenkins et al., 1996) all compare with past studies for five fuel types in Table 3.

### 3.2.1. Simple source diagnostic ratio

The ratios of Flt/Pyr, Bap/IcdP, Bap/BghiP, and IcdP/BghiP were used to identify the fossil and biomass fuel burning sources. This study reported 10 fold difference in Flt/Pyr ratios 1.09–9.66 ranging from 1.09 to 3.54 for MF; 1.22–6.19 for FW; 2.78–3.69 for CB; 3.35–9.66 for DC; and 3.12–3.66 for CR. Similarly Bap/BghiP, ratios were ranged between 0.88 and 9.88 with 2.17–3.18 for CB; 2.35–6.19 for DC; 0.88–2.46 (MF), 0.43–9.88 (3.13–4.14 (CB); 1.73–9.88 (DC); 0.43–0.99 (MF)]. Ratios IcdP/BghiP are less variable from 0.32 to 2.68 with 1.00–1.95 (CB); 0.32–0.71 (FW); 1.31–2.68 (DC); 0.52–0.64 (CR); 0.35–1.58 (MF) respectively. Diagnostic ratios of past and present studies are given in Table 3.

### 3.2.2. Binary diagnostic ratios

The EFs ratios of Anth/(Anth + Phe) of <0.1 and >0.1 are used to infer petrogenic and pyrogenic sources respectively (Pies et al., 2008). This study yield high Anth/(Anth + Phe) EFs ratios of 0.36–0.80 with lower ratios for crop residue  $0.36 \pm 0.09$  and coal balls:  $0.36 \pm 0.17$ ;  $0.43 \pm 0.21$  for fuel wood; and highest  $0.89 \pm 0.10$  for dung cake;  $0.56 \pm 0.18$  for mixed fuel suggesting less efficient combustion in the presence of insufficient oxygen. Ratios of Flt/(Flt + Pyr), have been used to indicate for many sources: <0.5 for gasoline engine (Fang et al., 2004); >0.5 for diesel engine) (Rogge et al., 1993; Mandalakis et al., 2004), >0.50 coal combustion (Yunker, et al., 2002), >0.60 wood combustion) (Dvorska, et al., 2011)), and in the range of 0.45–0.53 rice straw burning (Jenkins et al., 1996), and 0.49–0.55 biomass burning (Wiriya, et al., 2016). As expected, ratios of Flt/(Flt + Pyr) in all five fuel types exceeded 0.5 consistent with those found in chamber studies (Hays et al., 2005; Jenkins et al., 1996). However, these ratios are lower for the open burning studies; 0.4–0.5 for fossil fuel combustions,  $0.43 \pm 0.04$  for wood burning and  $0.49 \pm 0.03$  for crop residue burning (Rajput et al., 2011).

**Table 3**

Averaged values of source diagnostic ratios (mean  $\pm$  standard deviation) of *p*-PAHs for emissions resulting from burning of coal balls (CB), fuel wood (FW), dung cakes (DC), crop residues (CR), mixed fuels: dung cakes + fuel woods (MF) during household cooking practices in eleven locations across the 10 different states of India and comparison with those reported for other industrial and domestic combustions.

| sources                   | Study type                            | Anth/<br>(Anth +<br>Phe) | Flt/Pyr            | Flt/(Flt +<br>Pyr) | B[a]P/I<br>(cd)P   | B[a]P/<br>BghiP    | I(cd)P/B<br>[ghi]P | I(cd)P/I<br>(cd)P + B<br>[ghi]P | B[a]A/(B[a]<br>A + Chry) | Reference                                      |
|---------------------------|---------------------------------------|--------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|---------------------------------|--------------------------|--|
| Coal balls                | Real-world<br>household<br>combustion | 0.36 $\pm$<br>0.17       | 2.63 $\pm$<br>1.90 | 0.68 $\pm$<br>0.17 | 2.47 $\pm$<br>1.08 | 3.40 $\pm$<br>1.34 | 1.37 $\pm$<br>0.60 | 0.57 $\pm$ 0.09                 | 0.56 $\pm$ 0.18          | Present study                                  |
| Fossil- & bio-<br>fuels   |                                       | 0.14 $\pm$<br>0.04       | 0.90 $\pm$<br>0.09 | 0.47 $\pm$<br>0.03 | 0.70 $\pm$<br>0.54 | 0.74 $\pm$<br>0.54 | 1.07 $\pm$<br>0.23 | 0.51 $\pm$ 0.06                 | –                        | Rajput et al. (2011)                           |
| Coal<br>combustion        |                                       | –                        | –                  | –                  | –                  | –                  | –                  | –                               | 0.2–0.35                 | Akyüz and Cabuk<br>(2010)                      |
| Fossil fuel<br>combustion |                                       | –                        | –                  | 0.4–0.5            | –                  | –                  | –                  | –                               | –                        | De La Torre-Roche<br>et al. (2009)             |
| Coal<br>combustion        |                                       | –                        | –                  | 1.0–1.4            | –                  | –                  | –                  | –                               | –                        | Lee et al. (1995)                              |
| Fuel wood                 | real world<br>household<br>combustion | 0.43 $\pm$<br>0.21       | 2.22 $\pm$<br>1.89 | 0.65 $\pm$<br>0.15 | –                  | –                  | 0.48 $\pm$<br>0.32 | 0.31 $\pm$ 0.11                 | –                        | present study                                  |
| Wood-fuel                 | Chamber based                         | 0.18 $\pm$<br>0.03       | 0.75 $\pm$<br>0.12 | 0.43 $\pm$<br>0.04 | –                  | –                  | –                  | –                               | –                        | Bari et al. (2010)                             |
| Grass, wood<br>combustion |                                       | –                        | –                  | >0.5               | –                  | –                  | –                  | –                               | –                        | De La Torre-Roche<br>et al. (2009)             |
| Wood burning              |                                       | –                        | –                  | –                  | –                  | –                  | –                  | –                               | 0.79                     | Dickhut et al. (2000)                          |
| Dung cake                 | real world<br>household<br>combustion | 0.89 $\pm$<br>0.10       | 5.80 $\pm$<br>4.56 | 0.83 $\pm$<br>0.10 | 3.25 $\pm$<br>2.88 | 4.56 $\pm$<br>4.44 | 1.40 $\pm$<br>1.27 | 0.55 $\pm$ 0.15                 | –                        | Present study                                  |
| Crop residues             | real world<br>household<br>combustion | 0.36 $\pm$<br>0.09       | 3.22 $\pm$<br>0.95 | 0.76 $\pm$<br>0.05 | –                  | –                  | 0.64 $\pm$<br>0.30 | 0.38 $\pm$ 0.09                 | 0.11 $\pm$ 0.05          | Present study                                  |
| Paddy-residue             | Test chamber study                    | 0.17 $\pm$<br>0.01       | 0.97 $\pm$<br>0.21 | 0.49 $\pm$<br>0.05 | 1.63 $\pm$<br>0.45 | 2.20 $\pm$<br>0.20 | 1.43 $\pm$<br>0.51 | 0.58 $\pm$ 0.09                 | –                        | Hays et al., (2005);<br>Jenkins et al., (1996) |
| Wheat-residue             | Test chamber study                    | 0.21 $\pm$<br>0.01       | 1.05 $\pm$<br>0.08 | 0.51 $\pm$<br>0.02 | 1.22 $\pm$<br>0.66 | 1.43 $\pm$<br>0.37 | 1.28 $\pm$<br>0.39 | 0.55 $\pm$ 0.08                 | –                        | Hays et al., (2005);<br>Jenkins et al., (1996) |
| Wheat-residue             | Open biomass<br>burning               | 0.10 $\pm$<br>0.05       | 0.97 $\pm$<br>0.13 | 0.49 $\pm$<br>0.03 | 0.34 $\pm$<br>0.09 | 0.27 $\pm$<br>0.15 | 0.80 $\pm$<br>0.27 | 0.43 $\pm$ 0.08                 | –                        | Rajput et al. (2011)                           |
| Paddy-residue             | Open biomass<br>burning               | 0.15 $\pm$<br>0.03       | 0.84 $\pm$<br>0.04 | 0.46 $\pm$<br>0.01 | 0.64 $\pm$<br>0.16 | 0.64 $\pm$<br>0.21 | 0.98 $\pm$<br>0.13 | 0.49 $\pm$ 0.03                 | –                        | Rajput et al. (2011)                           |
| MF                        | real world<br>household<br>combustion | 0.56 $\pm$<br>0.18       | 2.44 $\pm$<br>1.55 | 0.69 $\pm$<br>0.11 | 1.37 $\pm$<br>0.95 | 0.56 $\pm$<br>0.27 | 0.40 $\pm$<br>0.56 | 0.27 $\pm$ 0.14                 | –                        | Present study                                  |
| Vehicle<br>emission       |                                       | –                        | –                  | –                  | –                  | –                  | –                  | –                               | >0.35                    | Akyüz and Cabuk<br>(2010)                      |
|                           |                                       | –                        | –                  | –                  | –                  | –                  | –                  | –                               | 0.53                     | Dickhut et al. (2000)                          |

Ratios of B[a]A/(B[a]A + Chry) in the range of 0.2–0.35 infer coal combustion (Akyüz and Cabuk, 2010) and higher (0.79) for wood burning (Dickhut et al., 2000). Ratios of B[a]A/Chry found in coal balls (0.56  $\pm$  0.18) and crop residue (0.11  $\pm$  0.05) were  $\sim$ 2-fold higher than those reported Akyüz and Cabuk (2010) for a chamber-based study. These values are helpful in differentiating the emissions of fossil fuels and solid biomass combustion applied in this study.

Moreover, I(cd)P/(I(cd)P + B[ghi]P) ratio was also used to distinguish the fossil fuel from biomass combustion emissions. This study yield ratio >0.5 for coal balls and dung cake and <0.5 for the other three fuels.

It should be noted that these source diagnostic ratios, determined by the respective PAH's concentrations in the emissions plume does not necessarily represent the same *p*-PAH sources in air. These ratios change with vapour/particle partitioning of PAH compounds in the atmosphere via dispersion and aging (Zhang, et al., 2005). The Measured diagnostic ratios of Anth/Phe, BaA/Chry, BbF/BkF, and Flt/Pyr in this study showed significant variation compared to those reported for outdoor air (Fang et al., 2004; Bourotte et al., 2005; Ravindra et al., 2008). This indicates that these diagnostic ratios should be used with caution for source identification.

### 3.3. Toxicity assessment

The PAHs are toxic upon chronic human exposure through inhalation of combustion fumes that lead to health hazards. The toxicity of the solid fuels are assessed based on the individual PAH emission, potential inhalation exposure integrated life time cancer risk (ILCR), and non-cancer hazard potential. Similar methodology has been used in previous studies to assess human health impact from air toxics (Mukherjee et al., 2012, 2014; Srivastava and Som, 2007).

#### 3.3.1. Exposure assessment

The potential inhalation exposure ( $E_i$  in mg/kg/day for an individual PAH species 'i') for a person engaged in cooking using solid fuel can be calculated as follows

$$E = C_i \times IR_a \times ED/BW_a \quad (1)$$

Where  $C_i$  is the average concentration of the PAH specie 'i' in combustion plume in mg/m<sup>3</sup>;  $IR_a$  is the inhalation rate for an adult (0.83 m<sup>3</sup>/h); ED is the exposure duration (2 h/day) and  $BW_a$  is average body weight for Indian adult (60 kg) (ICMR, 2010).

#### 3.3.2. ILCR and non-cancer Hazard assessment

The integrated life time cancer risk or ILCR for PAHs species esti-

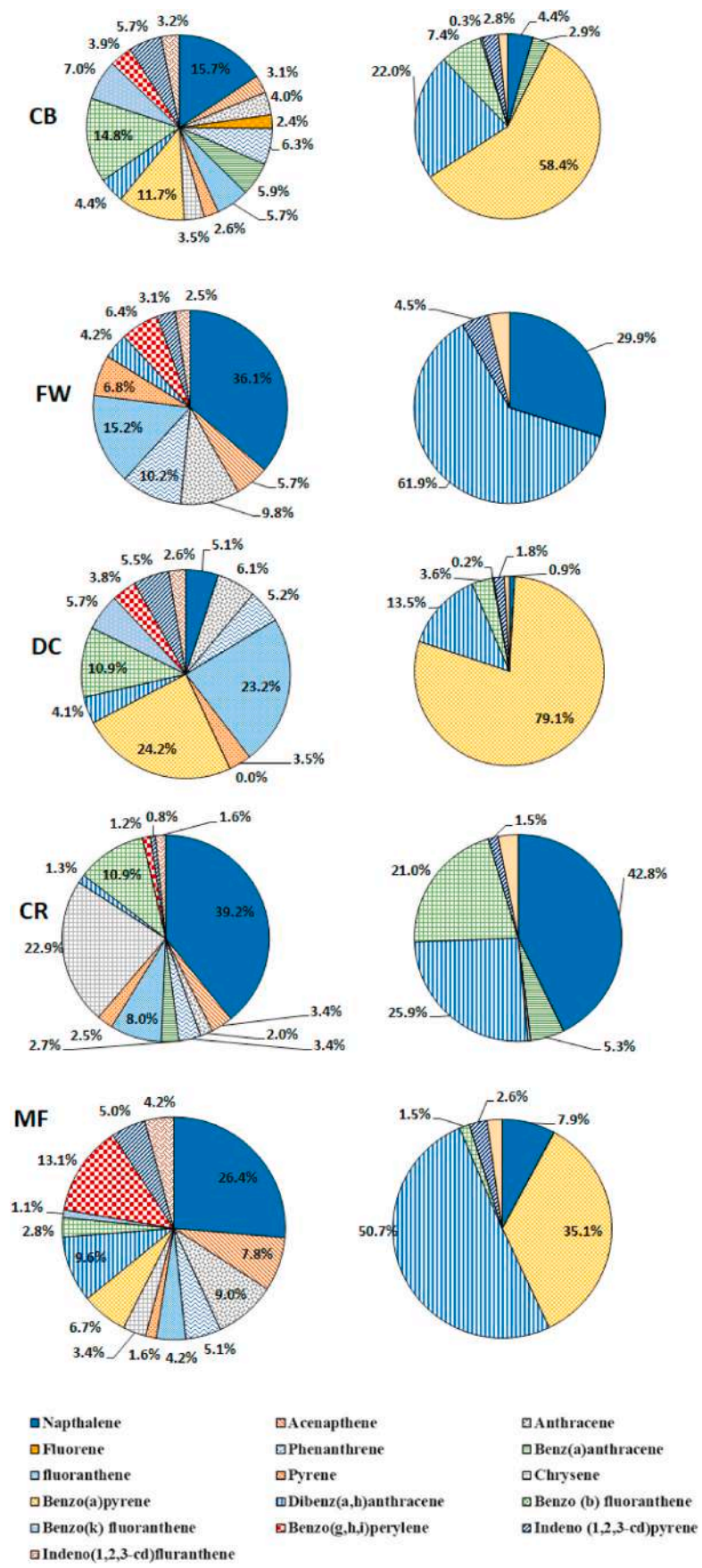


Fig. 4. Percent contribution of individual PAH to: (a) total p-PAH emissions (b) total carcinogenic risk due to combustion of solid fuel.



**Table 4**  
Ranking the fuel as per the toxicity.

| Solid fuel   | Rank | <i>p</i> -PAH Emission compared to FW | toxicity compared to FW | most toxic <i>p</i> -PAH species |
|--------------|------|---------------------------------------|-------------------------|----------------------------------|
| Fuel wood    | 1    | 1                                     | 1                       | Dibenzo(a,h)anthracene           |
| Crop residue | 2    | 3                                     | 2                       | Naphthalene                      |
| Dung Cake    | 3    | 1                                     | 5                       | Benzo (a)pyrene                  |
| Mixed fuel   | 4    | 19                                    | 52                      | Dibenzo(a,h)anthracene           |
| Coal ball    | 5    | 25                                    | 76                      | Benzo (a)pyrene                  |

Toxicity scale:1 - least toxic; 5 - most toxic.

**Table 5**  
Annual emission estimates of *p*-PAHs from household solid fuel burning emission in India.

| PAHs<br>(M.yr <sup>-1</sup> ) | Fuel type   |                  |                |                |
|-------------------------------|-------------|------------------|----------------|----------------|
|                               | Coal balls  | Fuel wood        | Dung cake      | Crop residues  |
| Naph                          | 1.5 ± 0.9   | 2251.2 ± 1954.4  | 341.2 ± 333.4  | 552.1 ± 401.1  |
| Ace                           | 3.1 ± 2.2   | 712.3 ± 640.1    |                | 93.1 ± 69.1    |
| Anth                          | 5.2 ± 2.5   | 1125.0 ± 1100.6  | 340.5 ± 276.2  | 51.0 ± 48.1    |
| Flu                           | 2.7 ± 2.3   | –                |                |                |
| Phen                          | 6.9 ± 6.5   | 1216.2 ± 1161.31 | 30.3 ± 21.5    | 90.2 ± 64.1    |
| B[a]A                         | 6.8 ± 5.1   | –                |                | 65.3 ± 49.0    |
| Flt                           | 7.3 ± 4.8   | 1782.11 ± 1653.0 | 137.8 ± 135.0  | 215.3 ± 132.2  |
| Chry                          | 3.3 ± 3.1   | 802.1 ± 801.0    | 19.0 ± 15.2    | 67.3 ± 40.4    |
| Pyr                           | 5.2 ± 3.1   | –                |                | 627.4 ± 264.7  |
| B[a]P                         | 18.0 ± 12.3 | –                | 1214.7 ± 975.1 |                |
| D[ah]A                        | 6.1 ± 5.0   | 491.1 ± 483.5    | 218.7 ± 181.0  | 40.4 ± 31.3    |
| B[b]F                         | 18.5 ± 4.7  | –                | 717.7 ± 640.0  | 291.1 ± 144.5  |
| B[k]F                         | 8.9 ± 5.7   | –                | 32.7 ± 28.36   | 1061.1 ± 502.5 |
| B[ghi]P                       | 4.6 ± 4.2   | 860.1 ± 815.7    | 265.7 ± 223.1  | 32.1 ± 20.9    |
| IcdP                          | 6.9 ± 3.3   | 516.4 ± 491.2    | 369.7 ± 291.0  | 20.3 ± 9.2     |
| IcdF                          | 3.9 ± 3.8   | 300.3 ± 292.7    | 154.7 ± 145.1  | 41.1 ± 25.2    |
| <sup>a</sup> Σ <i>p</i> -PAHs | 0.1 ± 0.01  | 10.0 ± 5.8       | 3.8 ± 2.1      | 3.2 ± 0.2      |

<sup>a</sup> whereas indicate the value of Σ*p*-PAHs in Gg.yr<sup>-1</sup>; Mega gram per year abbreviated as M.yr<sup>-1</sup>.

mated as

$$ILCR_i = C_i \times UR_i \quad (2)$$

where UR<sub>*i*</sub> is the inhalation unit risk of the PAH species '*i*'.

The non-cancer health hazard from exposure to HAPs has been estimated as hazard quotient, (HQ),

$$HQ = C_i / RfC_i \quad (3)$$

where RfC<sub>*i*</sub> is the chronic inhalation reference concentration for PAHs species '*i*', below which adverse health effects are not likely to occur (RAIS, 2020).

The cumulative non-cancer health hazard from exposure to all estimated PAHs is expressed as the hazard index (HI):

$$HI = \sum_i HQ \quad (4)$$

The chronic inhalation reference concentration and unit risk values for individual compounds have been adapted from the US EPA, The Risk Assessment Information System (RAIS, 2020). The supporting data has been given in Tables S2–S4.

The non-cancer hazard index has been found <1.0 for all cooking fuels, indicating no harmful exposure from solid fuel fumes. However, 7

of the 16 measured PAHs (i.e., B[a]A, Chry, B[a]P, D[ah]A, B[b]F, B[k]F, IcdP) are known as probable/possible carcinogens (Nisbet and LaGoy, 1992; Jia et al., 2011; Ramírez et al., 2011; IARC, 2019). Fig. 4 compares the relative percentage concentrations of individual 16 PAHs to total-PAHs and compares of 8 PAHs to carcinogenic toxicity, for five fuel types. B[a]P was the major contributor to PAHs carcinogenic toxicity risks for dung cake (79%), coal balls (58%), and mixed fuel (35%), Fig. 4 (b). In contrast, it contributed 24%, 12%, and 7% of the total of *p*-PAHs Fig. 4(a), for the respective fuels. Dibenzo(a,h)anthracene was the second largest contributor to PAH carcinogenic risks with 62% for fuel wood and 51% for mixed fuel; corresponding to 4% and 10% PAHs emission from respective fuels. Carcinogenic contribution for naphthalene (Naph) was also high and accounted for 44% in crop residues and 31% in fuel wood, corresponding to 39% and 36% of the total PAHs, from respective fuels. Table 4 shows emissions and toxicity rankings compared to the fuel wood (FW). The cancer risk from *p*-PAH in fuel wood ( $1.4 \times 10^{-6}$ ) marginally higher than the acceptable risk of one in a million. The cancer risks for coal balls and mixed fuels were higher than other fuels.

#### 3.4. Annual PAHs emission estimates

The biomass fuel consumption data for Indian states were obtained based on a survey of Indian government agencies (TERI, 2015) as reported by Pervez et al. (2018). Annual emissions were calculated using the method by Dhammpala et al. (2007). Without contribution for mixed fuel combustion, total PAHs emissions from household solid fuel-burning were  $17.1 \pm 8.1$  Gg yr<sup>-1</sup>, accounting for 0.82% of the total PM<sub>2.5</sub> emissions (2.00 Tg yr<sup>-1</sup>) from household solid fuel-burning activity in India. Table 5 showed  $10 \pm 5.8$  Gg yr<sup>-1</sup> for fuel wood,  $3.8 \pm 2.1$  Gg yr<sup>-1</sup> (DC),  $3.2 \pm 0.1$  Gg yr<sup>-1</sup> for crop residue,  $0.1 \pm 0.1$  Gg yr<sup>-1</sup> for coal balls.

#### 4. Conclusion

The evaluation of emission factors, based on the real-world sampling of domestic cooking emissions for 10 Indian states, shows the highest PAH emissions in coal balls and lowest in fuel wood. All of the five most commonly used solid fuels have shown 4–6 fold higher emissions than those of measured in open burning or laboratory test chamber studies. Large variations were found in cooking characteristics, air supplies, and moisture content in the flaming and smouldering phases of combustion. Naphthalene, fluorene, anthracene, phenanthrene, fluoranthene, dibenzo[ah]anthracene, and benzo [ghi] perylene, were estimated at significant levels in emission plumes. Emission factors of mixed fuel (MF) showed the lowest variability (39.8%) with the highest variability (49.3%), found in fuel wood (FW), attributing to the moisture content. High EF variabilities were also found for dung cake (46.5%), coal balls (43.2%) and crop residues (41.1%). Diagnostic ratios of IcdP/(IcdP + BghiP) > 0.5 were found to be associated to be with coal ball fuel emission, whereas ratios of Flt/(Flt + Pyr) > 0.5 were linked to crop residue burning. Higher carcinogenic risk toxicity order was found for coal ball > mixed fuel > dung cake > crop residue > fuel wood. High variabilities associated with PAHs EFs from household solid fuel combustion activities across the selected regions address the need to assess PAHs emissions from different types of burning activities in real-world situations and on a regional scale to evaluate nation-wide emission estimates.

#### Credit author statement

**Madhuri Verma:** Field sampling, chemical analysis, data analysis, writing-original draft preparation. **Shamsh Pervez:** PI of the project, designing the study, conceptualization, visualization and investigation, paper editing, data-validation, supervising and leading the study. **Judith C. Chow:** Assisting in designing the study and data analysis, Reviewing-Paper Editing, English and Grammar correction. **Dipanjali**

**Majumdar:** Assisted in chemical analysis of PAHs and editing the manuscript. **John G. Watson:** Assisting in designing sampling plan, Reviewing-Paper Editing, English and Grammar correction. **Yasmeen Fatima Pervez:** Participated in designing sampling plan and assisted in chemical analysis. **Manas Kanti Deb:** Co-Investigator of the sponsored project, participated in writing the manuscript. **Kamlesh Shrivastava:** Assisted in sample extraction procedures and editing the manuscript. **Vikas Kumar Jain:** Assisted in sampling campaign and editing the manuscript. **Noor A. Khan:** Co-investigator of the sponsored project, participated in PAHs analysis and editing the manuscript. **Papiya Mandal:** Co-investigator of the sponsored project and assisted in editing the manuscript. **Rajan K. Chakrabarty:** Assisted in designing the study, Reviewing-Paper Editing, English and Grammar correction.

### Declaration of competing interest

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: Shamsh Pervez reports financial support was provided by Science and engineering research board (SERB). Rajan Chakrabarty reports partial financial support of U.S. National Science Foundation.

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### Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.apr.2021.101142>.

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## Research paper

## Sources and health risk assessment of potentially toxic elements in groundwater in the mineral-rich tribal belt of Bastar, Central India



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## ABSTRACT

Concentrations of trace elements (Al, B, As, Be, Cd, Ba, Co, Cu, Fe, Cr, Sb, Ni, Li, Sn, Mn, Zn, V and Se) were determined in 160 groundwater samples, collected during pre-monsoon (PRM) and post-monsoon (POM) period (2017) in the tribal belt of Bastar, central India, using inductive coupled plasma mass spectrometry (ICP-MS). The concentrations of Al, As, Fe, Mn and Ni were found exceeding the permissible limits in 49% of samples. Cd, Sn and Se elements have shown two-fold increment in POM samples than those collected during PRM. On the contrary, Al, Ba, Co, Cr and Fe have shown a declining trend from PRM to POM period. On applying Principal component analysis (PCA) and Positive matrix factorization (PMF) approaches to the dataset, observed three primary sources (natural, geogenic and agricultural) for groundwater elemental components. Among the measured potentially toxic elements (PTEs), As has shown higher carcinogenic and non-carcinogenic risk in children as well as adults. This study recommends the regular monitoring of heavy metal contamination of groundwater as various geogenic and anthropogenic activities may elevate the risk of severe health hazards.

## 1. Introduction

Worldwide, 71% of the population access clean drinking water while 844 million people across the world still lack clean drinking water. This issue is more severe in rural areas where only one out of three people use safe drinkable water (WHO, 2019). In India, most rural and suburban regions rely on groundwater to meet water demand for drinking and domestic purposes (Clark et al., 1996; Ahada and Suthar 2018).

Among all contaminants, inorganic trace elements are of much concern as they are xenobiotic compounds and can accumulate in the water resources for a long period (Ravindra and Mor 2019). Groundwater has the potential to accumulate trace elements that reach the water table and pollute it through various natural and anthropogenic sources such as precipitation, rock-water interaction, percolation of soil-water, industrial wastewater, agricultural, domestic wastes, etc.

(Boateng et al., 2016; Bouderbala and Gharbi 2017; Hossain and Patra 2020).

However, depletion in water quality is related to public health concerns, so it is essential to estimate the exposure risk to understand groundwater sources' toxicity level imposing health hazards. Trace metals in groundwater may be exposed to human beings through two key pathways, direct ingestion and dermal absorption (Duggal and Rani 2018; Brindha et al., 2020; Hu et al., 2020). Some trace metal(loid)s such as arsenic (As), lead (Pb), nickel (Ni), chromium (Cr), copper (Cu), zinc (Zn), cadmium (Cd), and cobalt (Co) are potentially toxic if found above their threshold value in drinking water (Islam et al., 2019; Hossain and Patra 2020). Lead can cause neurological diseases as it can trigger the central nervous system mostly in children which results in fatigue, anaemia, and a decrease in intelligence quotient (IQ) level (Emenike et al., 2019). Cadmium excess affects renal function and can

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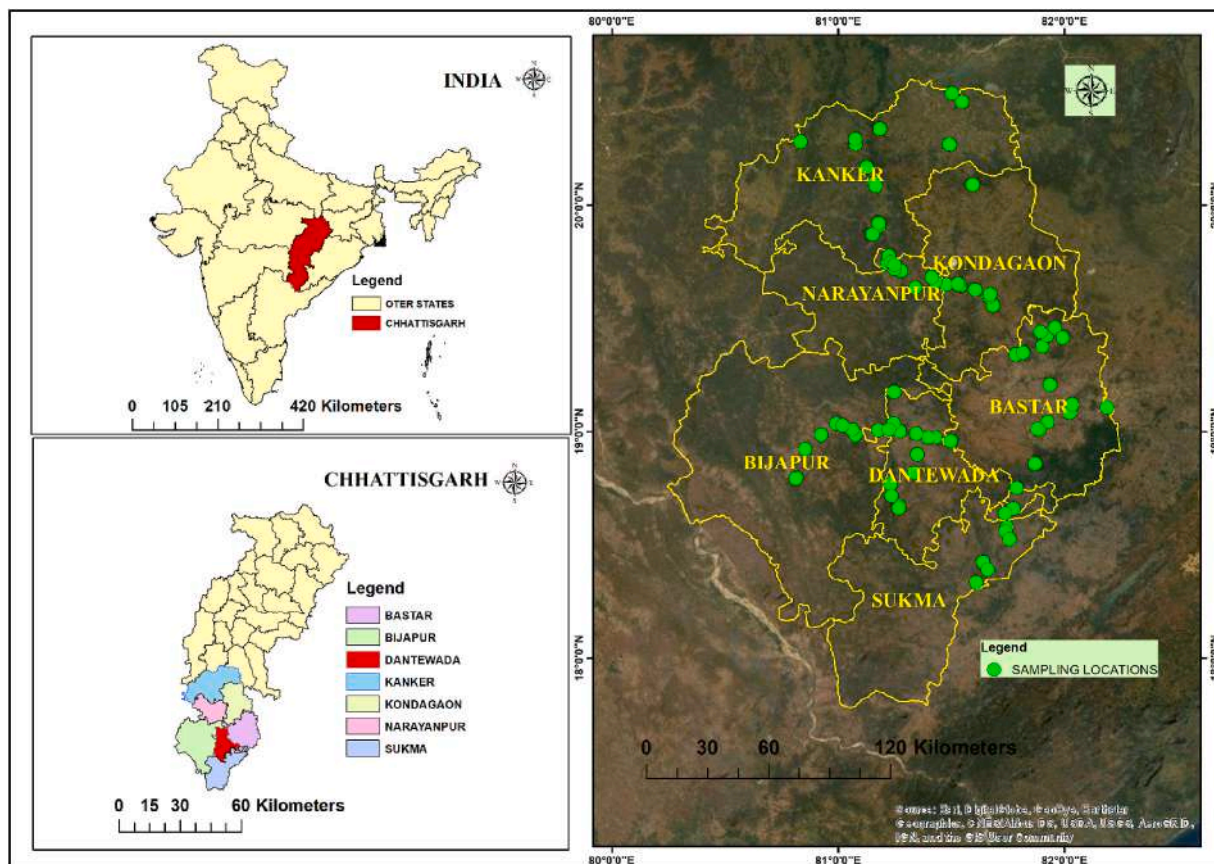


Fig. 1. Map of groundwater sampling locations across the Bastar region.

induce severe kidney diseases and even cancer. Consumption of water with high concentrations of arsenic can cause cardiovascular, neurological, and skin diseases such as hyperpigmentation, hyperkeratosis and can develop cancer (Emenike et al., 2019). Pandey et al. (2015) have reported higher As contamination in the groundwater of Kanker district (India) and carried out an epidemiological investigation reporting sub-acute As poisoning that resulted in gastrointestinal disease diarrhoea in inhabitants of the study area.

Previously very few studies on the evaluation of groundwater contamination have been reported for the study region and all of those studies were mainly focused on reporting selected major constituents and few toxic elements for a small number of location (Behera et al., 2012; Rubina and Kavita, 2013; Pandey et al., 2015). Source apportionment of trace elements, including potentially toxic species in groundwater allows to address the relative contribution from different major source routes viz. geogenic, anthropogenic (e.g., agricultural), and atmospheric (re)suspended materials, and studies that relate these results to assess health risks are scarce for the tribal belt of Bastar region. Thus, investigation of the potential source of groundwater contaminants, particularly potentially toxic trace elements and associated health risks is mandatory. Further this study aims to, 1) evaluate the spatio-temporal variations in trace element concentrations in groundwaters during pre-monsoon (PRM) and post-monsoon (POM) periods; 2) identify the significant sources of groundwater contamination using Principal Component Analysis (PCA) and chemical mass balance approach through positive matrix factorization (PMF); and 3) assess health risk caused by potentially toxic elements (PTEs).

## 2. Materials and methods

### 2.1. Study area

Sampling was performed at ninety-five locations across the seven districts of the Bastar division (80° 35'E – 82° 15'E and 17° 46' N-20° 35'N). QGIS 3.14 software was used to make a detailed map of the study area (Fig. 1).

Bastar region is considered a mineral-rich area of the Chhattisgarh state (India). Geologically, the study area is located on the Bastar Craton, with an ~215,000 km<sup>2</sup> extension, mainly composed of gneisses, granitoid, granulites, supracrustals, and mafic igneous rocks, being these last ones exposed in diverse locations, along with dykes characterized with a high-Mn and high-Fe quartz tholeiitic composition (Dora, 2014). The lithology of the study area is characterized by gneiss granitoids, meta-sediments in the Sukma region (Bengal), Bhopalpatnam, Kondagaon and Balaghat granulites, Bailadila Iron Formation, Dongargarh and Kotri Supergroup that includes the Dongargarh- Malajkhand and equivalent granitoids, the Sauser and Sonakhan Group of rocks and the platform sediments of Purana basins, among others. Soils are mainly vertisol and alfisol, these last ones with origin in the cratonic rocks (Gupta et al., 2012). Limestones and bauxites are common in this region, with Fe and Ti enrichment (Mineral Resource Department, 2014).

The study region is mainly drained by the Indravati and Sabri rivers which are tributaries of the Godavari River. It constitutes the agro-climatic region with sandy to clayey soil structure with considerable variation in soil types such as laterite, alluvial and loamy soil (Sinha, 2011). The total irrigated area in this region is 12,085 ha while the net sown area covers 6,37,965 ha (Department of Land Resource Management, 2014). The total forest area of the Bastar region is 7112 km<sup>2</sup>, accounting for more than 75% of its land area (Sinha, 2011). The annual temperature variation ranges between 10° C to 46° C and the annual

rainfall across the Bastar region is in mean  $\sim 1387$  mm. Precipitation occurs in this region due to the southwest monsoon attributed to the Bay of Bengal during June–September. Groundwater aquifers mostly arise in phreatic and semi-confined conditions.

## 2.2. Sampling and chemical analysis

The representative 160 groundwater samples were collected during pre and post-monsoon season out of which 65 samples were collected in the Pre-monsoon season (50 bore wells+15 Dug wells) while in post-monsoon season 95 samples were collected (72 bore wells+23 dug wells) using the stratified random sampling method applying pooled study design. The stagnant water of the column was pumped out for at least 10 min before sampling. For heavy metal analysis, water samples were filtered using Whatman 42 filter papers and immediately acidified with concentrated  $\text{HNO}_3$  acid ( $\text{pH} < 2$ ). AR grade acids and reagents were used in the study. Each sampling bottle was rinsed with the sampled groundwater before collection. Physical parameters such as pH, electrical conductivity (EC), total dissolved solids (TDS), and temperature (T) were measured in situ using HANNA® sensors (HI 98129) (Varghese and Jaya 2014; Guo et al., 2017). Water samples were filled up to the bottle's rim and were sealed tightly to prevent exposure to air. Samples were placed in an icebox and carefully transported to the laboratory and stored in a deep freezer ( $-4^\circ\text{C}$ ) for further analysis.

## 2.3. Chemical analysis

Selected trace elements (Al, B, As, Be, Cd, Ba, Co, Cu, Fe, Cr, Sb, Ni, Li, Sn, Mn, Zn, V, and Se) were analyzed in groundwater samples using inductively coupled plasma mass spectrometry (ICP-MS; ThermoFischer, Model iCAP RQ.ASX-560). Thermo Scientific Qtegra Intelligent Scientific Data Solution (ISDS) software was used for operating the instrument. Helium gas flow rate was set at  $4.5 \text{ mL min}^{-1}$ , while nebulizer argon flow rate was  $1.14 \text{ min}^{-1}$  and the integration time was set at 0.02 s per point for analysis. The internal standards (Sc, Tl, Ge, In, and Bi) with the known concentrations of 0.1, 0.5, 1, 10 and  $50 \mu\text{g L}^{-1}$  were used for calibration purposes. Samples were pre-treated with 0.1 N  $\text{HNO}_3$  acid and internal standards. Replicate measurements were carried out for all trace elements to maintain the relative standard deviation within 10%. The recovery percentage of the heavy metal/metalloids ranged between 76 and 98% indicating a good correlation between the actual and measured values.

## 2.4. Quality control

Quality control was obtained by applying standard laboratory measures and quality assurance strategies were applied during analysis such as replicate measurement of samples, use of analytical grade reagents and chemicals, and by adopting standard operational procedures of sample analysis. Throughout the analysis reagent blank, metal concentration, and its standard detection limits were observed.

## 2.5. Data compilation and statistical methods

The spatial variability of trace elements across the monitoring locations was calculated by dividing the standard deviation with the mean value of chemical species and taking its percentage and designated it as the coefficient of spatial variation (% CV). Source signatures of groundwater chemical components were determined using the PCA technique using IBM SPSS® 22 statistic software. The quantification of source contribution estimates of groundwater contaminants was carried out by executing USEPA PMF 5.0 using concentrations and associated analytical uncertainties of trace elements (US-EPA, 2014). Reported concentrations of groundwater ionic species (Dugga et al., 2020) were also included in the chemical input profiles of groundwater samples during the execution of PMF5.0 for evaluating more precise and

appropriate results of source apportionment.

## 3. Human health risk assessment

### 3.1. Exposure assessment

The exposure assessment estimates the magnitude and potential concerning chemical exposure to individuals, considering the pathways through which chemicals are usually transported and the major routes by which an individual is exposed to chemicals (USEPA, 2004). To evaluate the health risk impact posed by each element, USEPA (2004) risk assessment protocol was used. Due to the distinct behavior, exposure dose and body weight, health risk assessment was calculated for the two age groups: children (0–15 years) and adults (>15 years) (USEPA, 2011). Exposure to potentially toxic elements (PTEs) present in groundwater can occur via two major exposure pathways: (a) ingestion via drinking water intake through the mouth; and (b) dermal contact (Prasanth et al., 2012; Li et al., 2016). Daily exposure and health risk assessment were evaluated for nineteen trace elements (Al, As, B, Ba, Be, Cd, Co, Cr, Cu, Fe, Li, Mn, Ni, Sb, Se, V, Zn,  $\text{F}^-$  and  $\text{NO}_3^-$ ) associated with the groundwater using: (i) chemical daily intake (CDI) which account for direct ingestion via drinking water intake (Liu et al., 2020) (Eq. (1)); and (ii) dermal absorbed dose (DAD) which accounts for the dermal absorption of PTEs adhered to skin (Eq. (2))

$$CDI = \frac{C \times IR \times EF \times ED}{BW \times AT} \quad (1)$$

$$DAD = \frac{C \times SA \times Kp \times ET \times EF \times ED}{BW \times AT} \times CF \quad (2)$$

Where CDI and DAD are in  $\text{mg.kg}^{-1} \text{ day}^{-1}$ , C denotes the concentration of trace elements in  $\text{mg.L}^{-1}$ , IR is ingestion rate in  $\text{mg.day}^{-1}$  and EF is relative exposure frequency in  $\text{days.year}^{-1}$ , ED is exposure duration in years, BW is average body weight in kg, AT is the average time in days, SA denotes the exposed skin area ( $\text{cm}^2$ ), Kp is coefficient of dermal permeability in  $\text{cm.h}^{-1}$ , ET is the exposure time ( $\text{h.day}^{-1}$ ) and CF is the conversion factor (unitless) Factor values of each parameter used in the calculation of exposure assessment have been mentioned in Table 3.

### 3.2. Non-carcinogenic risk assessment

Risk assessment is described as the method of analyzing and determining the potential event that probably causes harmful health effects over a specific time duration and concludes the ability to tolerate risk based on risk analysis (EPA 1989; Mohammadi et al., 2019). In this study, non-carcinogenic risk assessment was evaluated using hazard quotient (HQ) and hazard index (HI) expressed as

$$HQ_{ing} = \frac{CDI}{RfD} \quad (3)$$

$$HQ_d = \frac{DAD}{RfD \times GIABS} \quad (4)$$

$$HI_i = HQ_{ing} + HQ_d \quad (5)$$

$$HI = \sum HI_i \quad (6)$$

Where  $HQ_{ing}$  and  $HQ_d$  are hazard quotient for oral ingestion and dermal exposure, respectively, RfD represents oral reference dose, GIABS denotes the gastrointestinal absorption factor, while the total non-carcinogenic risk was calculated as the summation of hazard quotient of oral and dermal exposure using hazard index (HI). HQ and HI values  $< 1.00 \text{ E}+00$  considered safe while values  $> 1.00 \text{ E}+00$  indicate that an individual is exposed to non-carcinogenic risk (Ravindra and Mor 2019; Yavar Ashayeri and Keshavarzi 2019).

**Table 1**

Descriptive statistics of trace and potentially toxic elements in the 160 groundwater samples, collected from Bastar region during Pre-Monsoon (PRM) and Post-monsoon (POM) periods.

| Species | BIS Limit | WHO Limit | MDL values (ICPMS) | Pre- Monsoon                               |                 |                   |                 |                   | Post-Monsoon                               |                             |        |                   |        |                    |  |
|---------|-----------|-----------|--------------------|--|-----------------|-------------------|-----------------|-------------------|--|-----------------------------|--------|-------------------|--------|--------------------|--|
|         |           |           |                    | Overall water samples (n = 73)             |                 | Dug Well (n = 20) |                 | Bore Well(n = 53) |  | Overall water sample (n=87) |        | Dug Well (n = 18) |        | Bore Well (n = 69) |  |
|         |           |           |                    | Geo mean ± SD (Min-Max)                    | Mean ± SD       | %CV               | Mean ± SD       | %CV               | Geo mean ± SD (Min-Max)                    | Mean ± SD                   | %CV    | Mean ± SD         | %CV    |                    |  |
| Al      | 30        | -         | 0.199              | <b>8.01 ± 21.60</b><br>(0.25-13.64)        | 17.49 ± 21.09   | 120.58            | 15.64 ± 21.97   | 140.47            | <b>5.82 ± 18.05</b><br>(0.79-94.06)        | 6.86 ± 4.36                 | 63.67  | 12.45 ± 20.02     | 160.80 |                    |  |
| As      | 10        | 10        | 0.003              | <b>7.22 ± 3.46</b><br>(1.02-20.03)         | 8.24 ± 2.20     | 26.69             | 8.20 ± 8.67     | 105.73            | <b>7.29 ± 2.57</b><br>(1.24-12.33)         | 8.37 ± 2.52                 | 30.10  | 7.73 ± 2.59       | 33.50  |                    |  |
| B       | 300       | 2400      | 0.123              | <b>10.56 ± 26.79</b><br>(0.25-135.64)      | 23.47 ± 27.22   | 115.98            | 19.92 ± 26.83   | 134.68            | <b>9.77 ± 24.38</b><br>(0.86-141.06)       | 9.70 ± 7.46                 | 76.90  | 19.71 ± 26.78     | 135.87 |                    |  |
| Ba      | 700       | 700       | 0.034              | <b>73.14 ± 132.81</b><br>(11.68-615.32)    | 83.99 ± 57.92   | 68.96             | 119.69 ± 151.14 | 126.27            | <b>67.75 ± 108.24</b><br>(0.03-759.29)     | 69.14 ± 41.01               | 59.31  | 109.64 ± 118.53   | 108.11 |                    |  |
| Be      | -         | -         | 0.032              | <b>6.05 ± 14.92</b><br>(0.89-66.94)        | 12.82 ± 18.39   | 143.45            | 10.57 ± 13.53   | 128.00            | <b>6.24 ± 13.80</b><br>(0.35-65.96)        | 11.65 ± 14.87               | 127.63 | 10.63 ± 13.62     | 128.13 |                    |  |
| Cd      | 3         | 3         | 0.023              | <b>0.03 ± 0.28</b><br>(0.001-0.99)         | 0.13 ± 0.30     | 230.77            | 0.14 ± 0.27     | 192.85            | <b>0.06 ± 0.27</b><br>(0.01-1.17)          | 0.13 ± 0.29                 | 223.07 | 0.15 ± 0.26       | 173.33 |                    |  |
| Co      | -         | -         | 0.002              | <b>1.61 ± 1.70</b><br>(0.06-6.52)          | 2.67 ± 1.61     | 60.30             | 2.27 ± 3.53     | 155.51            | <b>0.13 ± 0.84</b><br>(0.0005-6.97)        | 0.23 ± 0.24                 | 104.16 | 0.42 ± 0.44       | 104.76 |                    |  |
| Cr      | 50        | 50        | 0.003              | <b>1.79 ± 14.00</b><br>(0.16-60.34)        | 9.28 ± 15.13    | 163.04            | 8.14 ± 13.69    | 168.18            | <b>1.08 ± 9.10</b><br>(0.12-40.72)         | 4.75 ± 10.50                | 221.11 | 3.95 ± 4.76       | 120.51 |                    |  |
| Cu      | 50        | 2000      | 0.036              | <b>2.15 ± 6.95</b><br>(0.14-30.43)         | 5.13 ± 8.94     | 174.27            | 4.28 ± 6.12     | 142.99            | <b>2.09 ± 7.25</b><br>(0.18-31.70)         | 5.31 ± 1.58                 | 29.75  | 4.46 ± 7.02       | 157.39 |                    |  |
| Fe      | 300       | -         | 0.011              | <b>388.41 ± 374.29</b><br>(164.32-2023.76) | 450.89 ± 316.73 | 70.25             | 491.25 ± 396.06 | 80.62             | <b>357.37 ± 405.90</b><br>(104.27-2353.81) | 364.95 ± 232.01             | 63.57  | 471.08 ± 438.85   | 93.16  |                    |  |
| Li      | -         | -         | 0.069              | <b>5.97 ± 25.65</b><br>(0.13-99.87)        | 15.10 ± 28.61   | 189.47            | 14.42 ± 24.73   | 171.49            | <b>6.76 ± 23.23</b><br>(0.18-97.78)        | 11.51 ± 22.94               | 199.30 | 15.14 ± 23.41     | 154.62 |                    |  |
| Mn      | 100       | -         | 0.006              | <b>145.57 ± 170.79</b><br>(52.49-823.89)   | 164.77 ± 104.99 | 63.72             | 202.09 ± 189.66 | 93.85             | <b>178.07 ± 338.07</b><br>(32.71-2090.03)  | 167.33 ± 52.17              | 31.18  | 270.71 ± 147.59   | 54.52  |                    |  |
| Ni      | 20        | -         | 0.007              | <b>2.88 ± 13.09</b><br>(0.140-53.10)       | 8.94 ± 15.18    | 169.80            | 7.82 ± 15.59    | 199.36            | <b>3.59 ± 7.79</b><br>(0.26-35.06)         | 9.15 ± 9.15                 | 100.00 | 5.99 ± 7.33       | 122.37 |                    |  |
| Sb      | -         | 20        | 0.003              | <b>0.06 ± 0.30</b><br>(0.004-0.99)         | 0.21 ± 0.30     | 142.86            | 0.19 ± 0.31     | 163.16            | <b>0.05 ± 0.19</b><br>(0.01-0.91)          | 0.12 ± 0.22                 | 183.33 | 0.11 ± 0.18       | 163.63 |                    |  |
| Se      | 10        | 40        | 0.063              | <b>0.09 ± 0.44</b><br>(0.004-1.73)         | 0.30 ± 0.51     | 170.00            | 0.27 ± 0.42     | 155.55            | <b>0.19 ± 0.79</b><br>(0.004-3.98)         | 0.57 ± 0.92                 | 161.40 | 0.47 ± 2.60       | 553.19 |                    |  |
| Sn      | -         | -         | 0.009              | <b>0.03 ± 0.26</b><br>(0.001-0.99)         | 0.16 ± 0.32     | 200.00            | 0.13 ± 0.23     | 176.92            | <b>0.06 ± 0.22</b><br>(0.002-0.99)         | 0.12 ± 0.24                 | 200.00 | 0.13 ± 0.21       | 161.53 |                    |  |
| V       | -         | -         | 0.002              | <b>0.53 ± 10.91</b><br>(0.01-45.07)        | 4.91 ± 12.20    | 248.47            | 4.52 ± 10.51    | 232.52            | <b>0.85 ± 8.38</b><br>(0.03-35.04)         | 3.44 ± 8.62                 | 250.58 | 4.55 ± 8.36       | 183.73 |                    |  |
| Zn      | 5000      | -         | 1.183              | <b>178.26 ± 275.49</b><br>(12.09-1538.75)  | 295.56 ± 234.76 | 79.43             | 281.35 ± 434.85 | 154.56            | <b>256.85 ± 427.55</b><br>(66.81-2612.25)  | 258.16 ± 151.00             | 58.49  | 397.32 ± 470.56   | 118.43 |                    |  |

All concentrations in  $\mu\text{g.L}^{-1}$ ; MDL-Minimum detection limit ( $\mu\text{g.L}^{-1}$ ); Geo mean – Geometric mean; SD – standard deviation; CV - coefficient of spatial variation.

3.3. Carcinogenic risk assessment

The potential carcinogenic risk (CR) represents the probability of developing cancer in an individual during lifetime exposure to carcinogenic elements (El Nemr et al., 2016; Yavar Ashayeri and Keshavarzi 2019). The carcinogenic risk was evaluated for two carcinogenic elements (As and Cr) using the following equations:

$$CR_{ing} = CDI \times SF_o \tag{7}$$

$$CR_d = DAD \times \left(\frac{SF_o}{GIABS}\right) \tag{8}$$

$$CR_i = CR_{ing} + CR_d \tag{9}$$

$$CR = \sum CR_i \tag{10}$$

Where  $CR_{ing}$  and  $CR_d$  represent carcinogenic risk by oral and dermal exposure, respectively,  $SF_o$  denotes oral slope factor ( $\text{mg.kg}^{-1}.\text{day}^{-1}$ )<sup>-1</sup> while CR is the total carcinogenic risk (Li et al., 2016). The CR value < 10<sup>-6</sup> shows no significant risk, 10<sup>-6</sup> to 10<sup>-4</sup> considered tolerable, while values > 10<sup>-4</sup> indicate a high carcinogenic risk.

4. Results and discussion

4.1. Groundwater chemical characterization

This study shows the concentration of eighteen trace elements in groundwater samples collected from the Bastar region during the PRM and POM periods of 2017. In addition to the tabulation of mean concentrations of the elemental species for all groundwater samples collected on the two periods, similar statistics were presented separately for dug-well and bore-well groundwater samples in Table 1. Further, these concentrations were used to evaluate the spatiotemporal variability, source apportionment, and health risk assessment to achieve a clear groundwater hydrochemistry scenario in the study area.

The abundance order based on the geometric mean (GM) value of trace elements concentration in overall groundwater samples of the study area were as follows: Fe > Zn > Mn > Ba > B > Al > As > Be > Li > Ni > Cu > Cr > Co > V > Se > Sb > Sn > Cd in PRM and Fe > Zn > Mn > Ba > B > As > Li > Be > Al > Ni > Cu > Cr > V > Se > Co > Sn > Cd > Sb in POM.

Among all the trace elements, the highest average concentration was obtained for Fe (GM: 388.40 ± 374.29  $\mu\text{g.L}^{-1}$  in PRM and GM: 357.37 ±

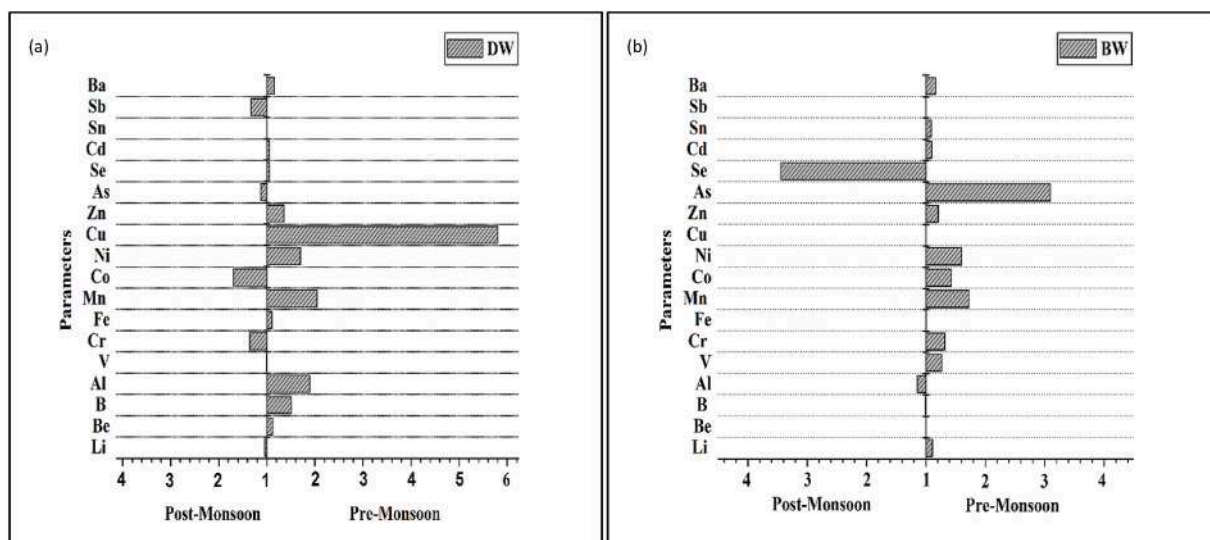


Fig. 2. Spatiotemporal variation trend shown by trace and toxic elements in (a) dug well (DW) and (b) borewell (BW) samples [(%CV) between pre and post-monsoon periods].

405.90  $\mu\text{g.L}^{-1}$  in POM). The insignificant variation between PRM and POM indicates the consistency of a similar source of origin (geological crustal) during both seasonal periods (Mondal et al., 2010; Bhutiani et al., 2016; Singh and Kamal 2017). The concentration of Fe in approximately 49% of samples in PRM and POM was above the permissible limit of 300  $\mu\text{g.L}^{-1}$  given by (BIS, 2012). Mn, another abundant crustal element usually found in groundwater (Singh and Kamal, 2017), revealed concentrations of GM: 145.57  $\pm$  170.79  $\mu\text{g.L}^{-1}$  in PRM and GM: 178.07  $\pm$  338.07  $\mu\text{g.L}^{-1}$  in POM. Mn in 37% and 42% of the PRM and POM samples, respectively, found exceeding the permissible limit of 100  $\mu\text{g.L}^{-1}$  (BIS, 2012).

Arsenic (As) has been categorized as a human carcinogen that results

in skin and lung cancer (IARC, 2012). Exposure at higher levels can cause severe neurological, cardiovascular, reproductive, and respiratory disorders (Wang et al., 2007; Rahman et al., 2009). The concentration of As in groundwater was found GM: 7.22  $\pm$  3.46  $\mu\text{g.L}^{-1}$  and GM: 7.29  $\pm$  2.57  $\mu\text{g.L}^{-1}$  in PRM and POM, respectively, with a higher concentration in 11% PRM and 24% POM samples compared to the permissible level of 10  $\mu\text{g.L}^{-1}$  (WHO, 2011). The higher concentration of Fe and Mn oxides and As suggests that it is mobilized because of the reductive dissolution of Fe and Mn oxides with As-oxy anions in groundwater aquifer (Rahman et al., 2009).

Aluminium was found to be GM: 8.01  $\pm$  21.60  $\mu\text{g.L}^{-1}$  (PRM) and GM: 5.82  $\pm$  18.05  $\mu\text{g.L}^{-1}$  (POM) in groundwater samples with exceeding in

Table 2

Varimax orthogonal rotation factor loadings of twenty-seven parameters of groundwater on execution of PCA for PRM and POM periods.

| Parameters                    | Components   |              |              |              |              |              |
|-------------------------------|--------------|--------------|--------------|--------------|--------------|--------------|
|                               | Pre monsoon  |              |              | Post monsoon |              |              |
|                               | Factor 1     | Factor 2     | Factor 3     | Factor 1     | Factor 2     | Factor 3     |
| Li                            | <b>0.879</b> | 0.078        | 0.095        | <b>0.929</b> | 0.123        | 0.007        |
| Be                            | <b>0.867</b> | 0.101        | 0.078        | <b>0.904</b> | 0.011        | 0.048        |
| B                             | 0.025        | 0.213        | <b>0.924</b> | -0.017       | 0.153        | <b>0.921</b> |
| Al                            | 0.128        | 0.220        | <b>0.903</b> | 0.020        | 0.255        | <b>0.878</b> |
| V                             | <b>0.936</b> | 0.011        | 0.064        | <b>0.915</b> | 0.181        | 0.006        |
| Cr                            | <b>0.862</b> | -0.069       | -0.014       | <b>0.965</b> | 0.094        | 0.020        |
| Mn                            | -0.101       | <b>0.781</b> | 0.249        | 0.167        | <b>0.884</b> | 0.025        |
| Fe                            | 0.068        | <b>0.837</b> | 0.281        | 0.272        | <b>0.789</b> | -0.041       |
| Se                            | <b>0.847</b> | -0.088       | 0.19         | <b>0.873</b> | 0.081        | -0.057       |
| Co                            | 0.204        | <b>0.655</b> | 0.201        | 0.007        | <b>0.811</b> | 0.043        |
| Ni                            | <b>0.948</b> | 0.003        | 0.021        | <b>0.819</b> | 0.039        | 0.029        |
| Cu                            | <b>0.940</b> | 0.071        | 0.111        | <b>0.917</b> | 0.159        | 0.125        |
| Zn                            | -0.013       | 0.127        | <b>0.906</b> | 0.129        | 0.169        | <b>0.839</b> |
| As                            | 0.093        | <b>0.771</b> | -0.033       | 0.060        | <b>0.789</b> | 0.054        |
| Cd                            | <b>0.945</b> | 0.022        | 0.026        | <b>0.936</b> | 0.128        | 0.011        |
| Sn                            | <b>0.908</b> | 0.005        | 0.055        | <b>0.958</b> | 0.040        | 0.052        |
| Sb                            | <b>0.869</b> | -0.048       | -0.054       | <b>0.942</b> | 0.115        | 0.001        |
| Ba                            | -0.210       | <b>0.816</b> | -0.034       | 0.051        | <b>0.780</b> | 0.112        |
| Mg <sup>2+</sup>              | 0.131        | <b>0.921</b> | 0.177        | 0.125        | <b>0.897</b> | 0.245        |
| Na <sup>+</sup>               | <b>0.873</b> | 0.097        | 0.117        | <b>0.847</b> | 0.005        | 0.064        |
| K <sup>+</sup>                | <b>0.910</b> | 0.040        | 0.083        | <b>0.921</b> | 0.098        | 0.137        |
| Ca <sup>2+</sup>              | 0.113        | <b>0.902</b> | 0.166        | -0.094       | <b>0.799</b> | 0.271        |
| Cl <sup>-</sup>               | 0.161        | 0.191        | <b>0.903</b> | 0.303        | -0.148       | 0.427        |
| F <sup>-</sup>                | -0.110       | <b>0.870</b> | 0.127        | 0.007        | <b>0.718</b> | -0.160       |
| NO <sub>3</sub> <sup>-</sup>  | 0.061        | 0.195        | <b>0.933</b> | 0.124        | 0.165        | <b>0.919</b> |
| SO <sub>4</sub> <sup>2-</sup> | <b>0.829</b> | 0.199        | 0.216        | <b>0.938</b> | 0.154        | 0.022        |
| HCO <sub>3</sub> <sup>-</sup> | 0.553        | <b>0.721</b> | -0.027       | 0.210        | <b>0.862</b> | 0.052        |



**Table 3**  
Factor values of different parameters used for calculation of exposure assessment.

| Parameter | Value   |                             | Reference                            |
|-----------|---|-----------------------------|--------------------------------------|
|           | Children  | Adult                       |                                      |
| IR        | 1Lday <sup>-1</sup>   | 2 L day <sup>-1</sup>       | EPA (1989)                           |
| EF        | 365 days year <sup>-1</sup>   | 365 days year <sup>-1</sup> | USEPA (2004)                         |
| ED        | 12 years  | 30 years                    | USEPA (2004)                         |
| CF        | 10 <sup>-3</sup>  | 10 <sup>-3</sup>            | Yavar Ashayeri and Keshavarzi (2019) |
| BW        | 15 kg   | 70 kg                       | USEPA (2004)                         |
| AT        | ED × 365 day (non-carcinogen)<br>70 × 365 = 25,550 days (carcinogen)  |                             | USEPA (2004)<br>(Islam et al., 2019) |
| SA        | 18000 cm <sup>2</sup>   |                             | (USEPA, 2011)                        |
| Kp        | Ba = 0.07, Be = 0.007, Cd = 0.05,<br>Cr = 0.025, Ni = 0.04, Sb = 0.15,<br>V = 0.026, for other elements = 1 |                             | (US-EPA, 2014)<br>Hu et al. (2012)   |

11% and 6% samples in PRM and POM samples from the permissible limit of 30 µg.L<sup>-1</sup> (BIS, 2012). Higher Al concentrations may disturb the physical and cellular process of the body as it replaces the Mg<sup>2+</sup> and Fe<sup>3+</sup> ions in the body disrupting the cellular function, secretory function, and intercellular interactions (Ravindra and Mor, 2019). Ni, a potentially toxic element, was also found exceeding the permissible limits of 20 µg.L<sup>-1</sup> in 16% and 9% samples of PRM and POM, respectively (BIS, 2012). Sites with higher groundwater Ni concentrations are mostly located in agricultural fields. The use of Ni-enriched insecticides/fertilizers might be the primary reason for increased Ni concentration in groundwater samples (Gimeno-García et al., 1996; Defarge et al., 2018). Other measured elemental species (B, Ba, Be, Cd, Cr, Co, Cu, Li, Se, Sn, Sb, V, Zn) were found in trace amounts and within the respective permissible limits for drinking water.

#### 4.2. Spatiotemporal variability of trace elements

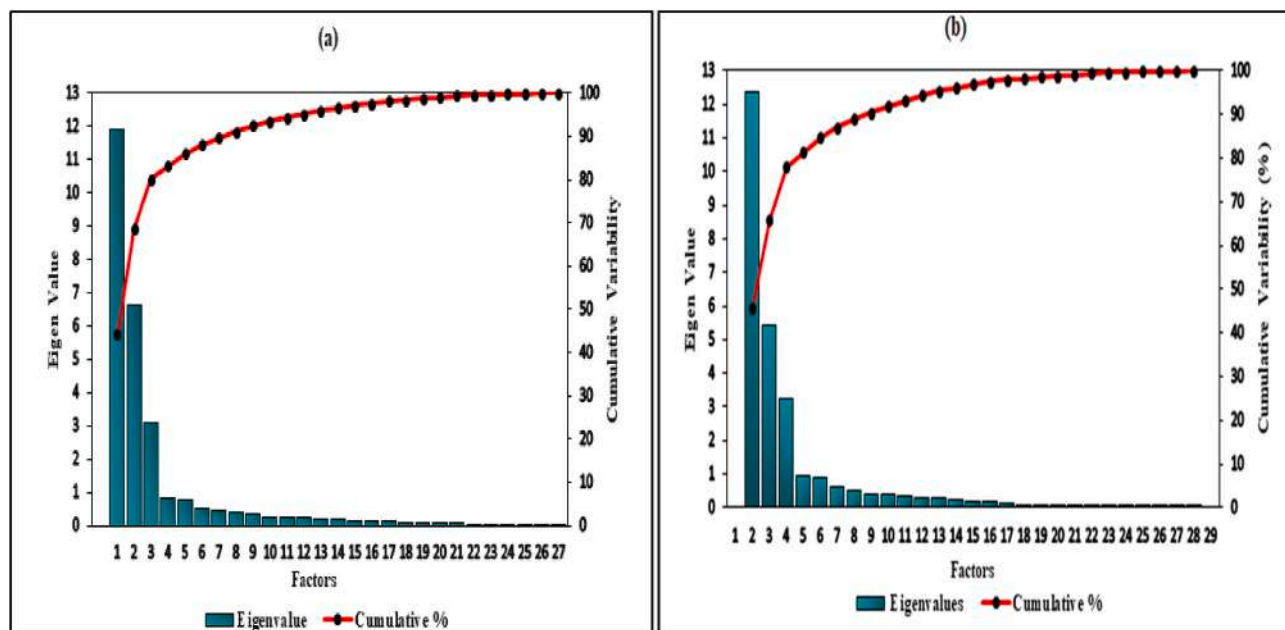
The coefficient of spatial variation (CV), determined by dividing the standard deviation by the overall mean across the sites, is presented in Table 1 separately for DW and BW sites and for PRM and POM seasons to

evaluate spatiotemporal variability. A graph was also plotted using origin 8.1 between the values obtained by dividing %CV for each trace element in POM by PRM and visa-versa with a mathematical factor >1 to evaluate spatiotemporal variability (Fig. 2). Except for Fe, As, Co, and Mn, all other elemental species concentrations have shown higher spatial variability of >100% across both DW and BW sites during PRM. It underscores the wide contribution of mineral-rich beds to hydro-chemistry of the study region through mineral dissolution. On evaluating the spatial variability in temporal scale (spatiotemporal variability) across DW sites, Cu, Zn, Ni, Mn, Al and B elemental concentrations have shown multi-fold higher spatial variability in PRM compared to the POM period. On the contrary, CV% associated with Co, Sb, and Cr concentrations were higher in POM than PRM. In BW sites, concentrations of several elements (As, Zn, Ni, Co, Mn, Cr, V) have shown higher spatial variability in PRM than POM. This may be attributed to an increase in mineral concentration and a decrease in groundwater table during PRM.

#### 4.3. Factor analysis using PCA technique

The principal component analysis (PCA) is a receptor technique for recognizing patterns, explaining the variance of significant inter-correlated variables, and could be altered to independent variables (Helena et al., 2000; Krishna et al., 2009; Belkhir and Narany 2015). PCA method has been described in detail in S1. PCA was executed for extracting significant factors to evaluate possible source types of groundwater chemical contaminants using chemical profiles of elemental concentrations along with reported ionic concentrations.

Factors were determined with a group of heavy elements and ions in groundwater which were strongly correlated in the factor matrix after operating the varimax rotation (Table 2). Supplementary Tables S2–S3 show details of PCA including principal components (PCs), percentage of variance, and cumulative percentage of each PCs for PRM and POM period respectively. KMO and Bartlett's sphericity test was performed in data sets of both PRM and POM periods for examining the accuracy of factor analysis and found  $p = 0.85$  (for PRM) and  $p = 0.84$  (for POM), well beyond the prescribed values of  $p > 0.5$  (Haji Gholizadeh et al., 2016). Similarly,  $\chi^2$ -values were also within prescribed good fit parameters, underscore the appropriateness of factor analysis using PCA.



**Fig. 3.** Scree plot drawn for determining the number of factors in PCA receptor model indicating common source of chemical species in groundwater during (a) PRM and (b) POM periods.

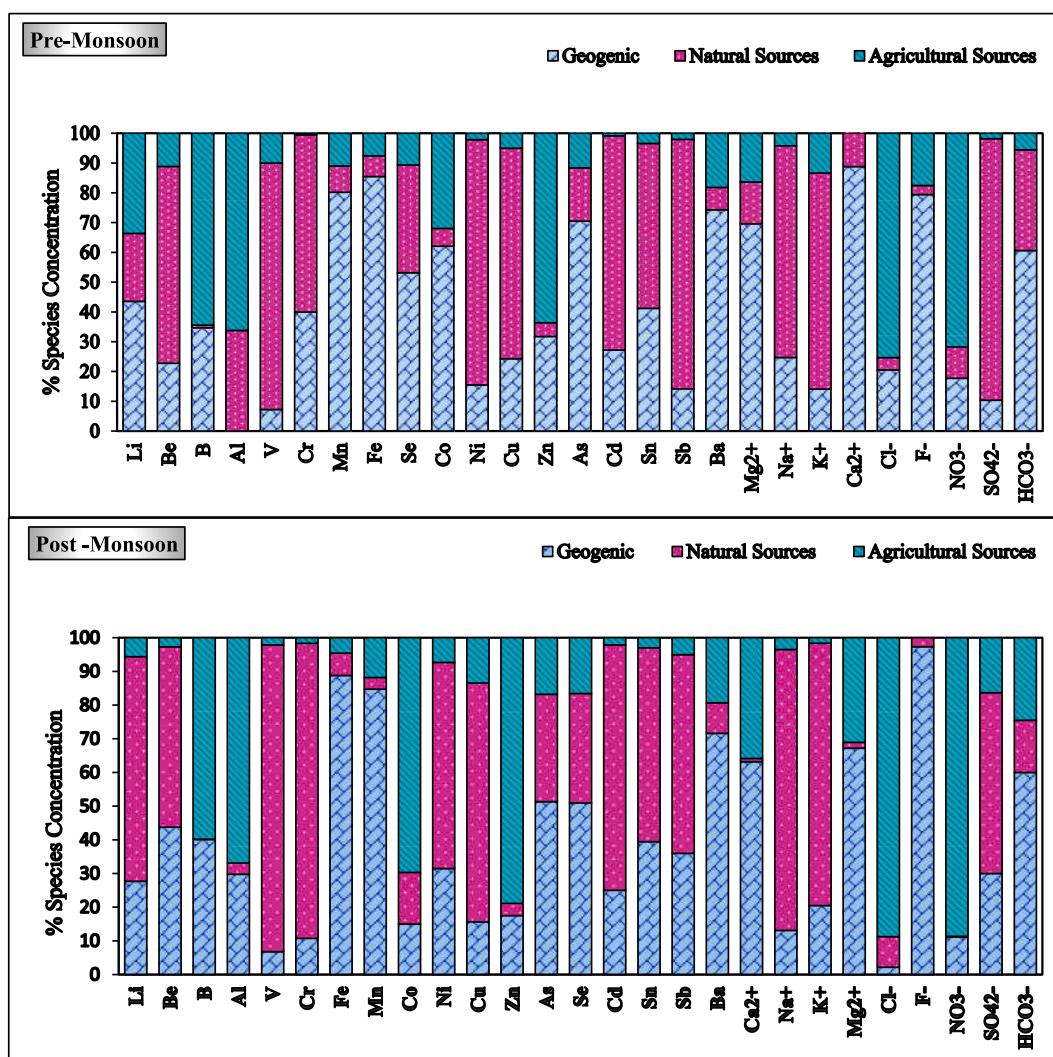


Fig. 4. The relative contribution estimates of source-factors of trace and potentially toxic elements using EPA PMF 5.0 model for pre-monsoon and post-monsoon periods.

The total variance was found 80.18% (PRM) and 77.95% (POM). Three factors were extracted by relevant variables based on Kaiser's rule as the Eigenvalue for the first three components was >1 in both sampling periods. The scree plots of PRM and POM were depicted in Fig. 3(a) and (b). The first factor exhibits 42.53% (PRM) and 43.68% (POM) of total variance with a positive factor loading of Be, Cd, Cr, Cu, K<sup>+</sup>, Li, Na<sup>+</sup>, Ni, Se, Sb, Sn, V, and SO<sub>4</sub><sup>2-</sup> while negative loading on Ba, F<sup>-</sup> and Mn. Interaction of acidic components of atmospheric wet precipitation with soil, followed by percolation to groundwater might be the indicator of the first source factor. This factor could be attributed to the natural sources which signify the percolation of elements and ions present in the soil through the rainwater or may enter the groundwater aquifer through surface water via various biochemical processes such as oxidation-reduction and ion exchange etc. (Drever 1997; Sethy et al., 2016; Loh et al., 2020).

The second factor shows 20.84% (PRM) and 19.19% (POM) of total variance with a positive factor loading of As, Ba, Ca<sup>2+</sup>, Co, F<sup>-</sup>, Fe, HCO<sub>3</sub><sup>-</sup>, Mg<sup>2+</sup>, and Mn while negative loading of Cr, Se, and Sb. The second factor might be originated by geogenic sources, which resulted in the dissolution of minerals like calcite [CaCO<sub>3</sub>] and iron oxides (e.g. goethite [FeO(OH)] and hematite [Fe<sub>2</sub>O<sub>3</sub>]) (Lu et al., 2012; Kashyap et al., 2018). Crustal elements, e.g. Fe, Mn, Ca<sup>2+</sup>, and Mg<sup>2+</sup> found in minerals present in the study area are dissolved into groundwaters by rock-water interaction processes.

The third factor exhibits 16.82% (PRM) and 15.07% (POM) of total variance with a positive factor loading of Al, B, Cl<sup>-</sup>, NO<sub>3</sub><sup>-</sup> and Zn while negative factor loading of Cr, As, Sb, Ba, and HCO<sub>3</sub><sup>-</sup>. This factor could be attributed to the agricultural sources as the fertilizer-rich water may enter the aquifer and contaminate the groundwater (Hudak 2012; Jassas and Merkel 2015).

#### 4.4. Source apportionment using positive matrix factorization (PMF 5.0)

U.S. EPA's PMF 5.0 was executed using chemical profiles of 27 chemical species (concentrations and related uncertainties) of 160 groundwater samples for source apportionment of ions and heavy elements during PRM and POM sampling seasons, respectively. PMF 5.0 receptor model has been described in detail in S2. The Optimal number of factors (03) were determined by the iteration with the lowest Q (Robust)/Qexp value, picked up by performing 20 random runs (Paatero 1997; Norris et al., 2014). The factor fingerprints, obtained from PMF5.0, were presented using the percentage of individual species concerning all species and the species concentration related to source factors have been shown in Fig. 4. Species with >50% contribution were used to designate a particulate source-factor. The factor profile of groundwater chemical species for PRM and POM has been shown in Figs. 5 and 6 respectively.

In PRM, Factor 1 contributes 41.26% to chemical contaminants of

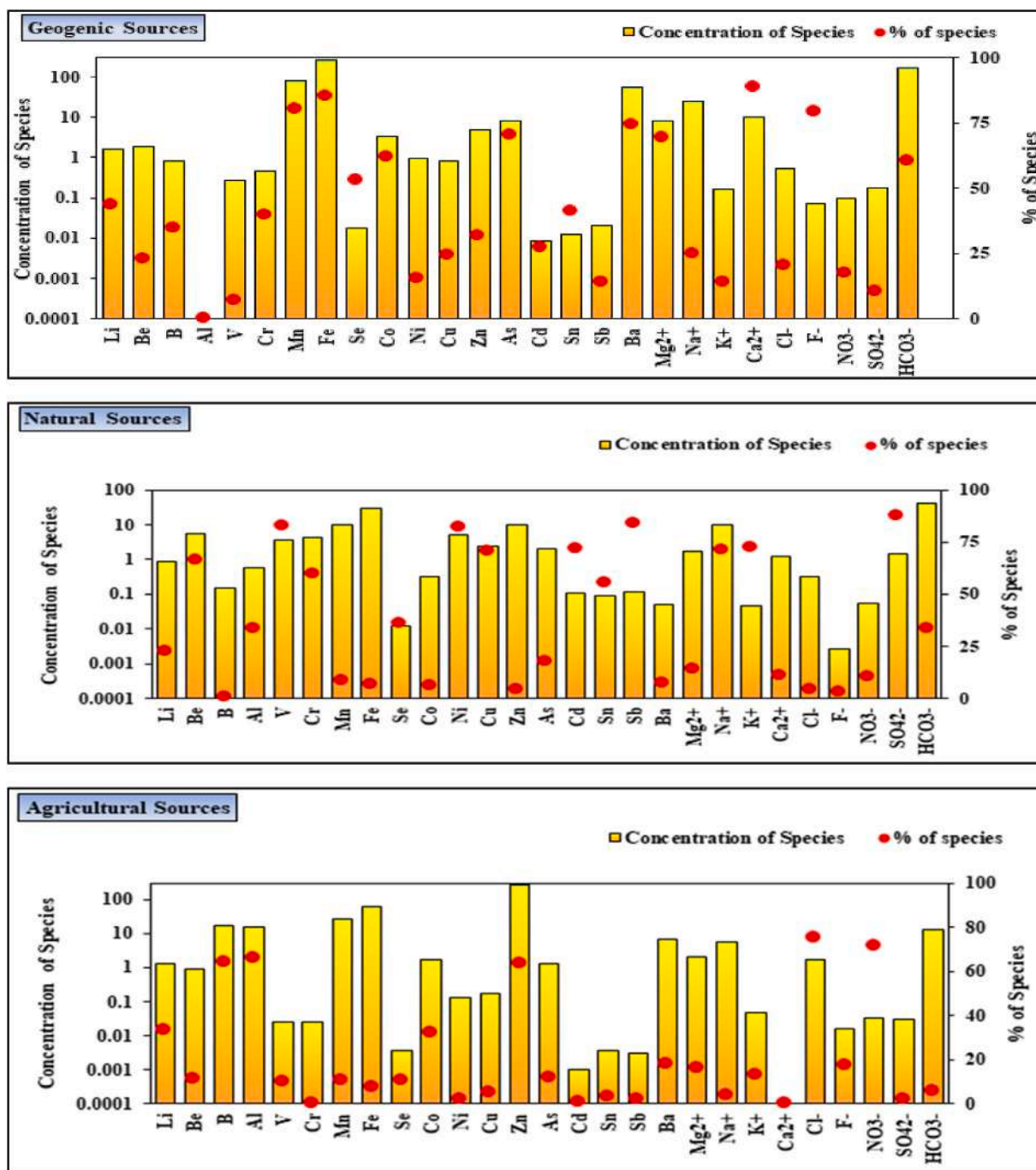


Fig. 5. Factor profile of chemical species in groundwater determined using EPA PMF5.0 receptor model for pre-monsoon period.

groundwater samples with a major contribution of Mn, Fe, Se, Co, Ba,  $F^-$ ,  $Ca^{2+}$ , As,  $Mg^{2+}$ , Ba, and  $HCO_3^-$  species derived from geogenic sources viz. rock weathering (e.g. fluorite [ $CaF_2$ ], hematite and arsenopyrite [ $FeAsS$ ]) into groundwaters (Lu et al., 2012; Mahato et al., 2016). Factor 2 is characterized by higher concentrations of Be, V, Cr, Ni, Cu, Cd, Sn, Sb,  $Na^+$ ,  $K^+$  and  $SO_4^{2-}$  with 35.97% factor contribution, indicates the natural source. These chemical species are commonly found in soil and may enter the groundwater aquifers by percolation through rainwater or surface water (Chen et al., 2019; Loh et al., 2020).

Factor 3 represents the agricultural sources with a 20.76% factor contribution and higher factor loading of B, Al, Zn,  $Cl^-$  and  $NO_3^-$  (Jassas and Merkel, 2015). Comparatively higher contribution of geogenic sources in PRM might be due to lowering in groundwater table in summertime (PRM), consequently increasing the concentrations of

species known for geogenic origin.

For the POM period, Factor 1 has shown 38.56% factor contribution with a higher concentration of V, Cr, Ni, Cu, Cd, Se, Sn, Sb,  $Na^+$ ,  $K^+$ , and  $SO_4^{2-}$ ; representing the natural sources. Factor 2 contributes 36.56% with a higher factor loading of Fe, Mn, As, Se, Ba,  $Ca^{2+}$ ,  $Mg^{2+}$ ,  $F^-$ ,  $HCO_3^-$ , representing the geogenic sources. In contrast, Factor 3 has shown 25.10% factor contribution, representing the agricultural sources with a higher concentration of B, Al, Co, Zn,  $Cl^-$  and  $NO_3^-$ . The relatively higher contribution of natural sources in groundwater contamination in the POM period might be due to wet monsoon precipitation. The average contribution of sources in PRM and POM is shown in Fig. 7

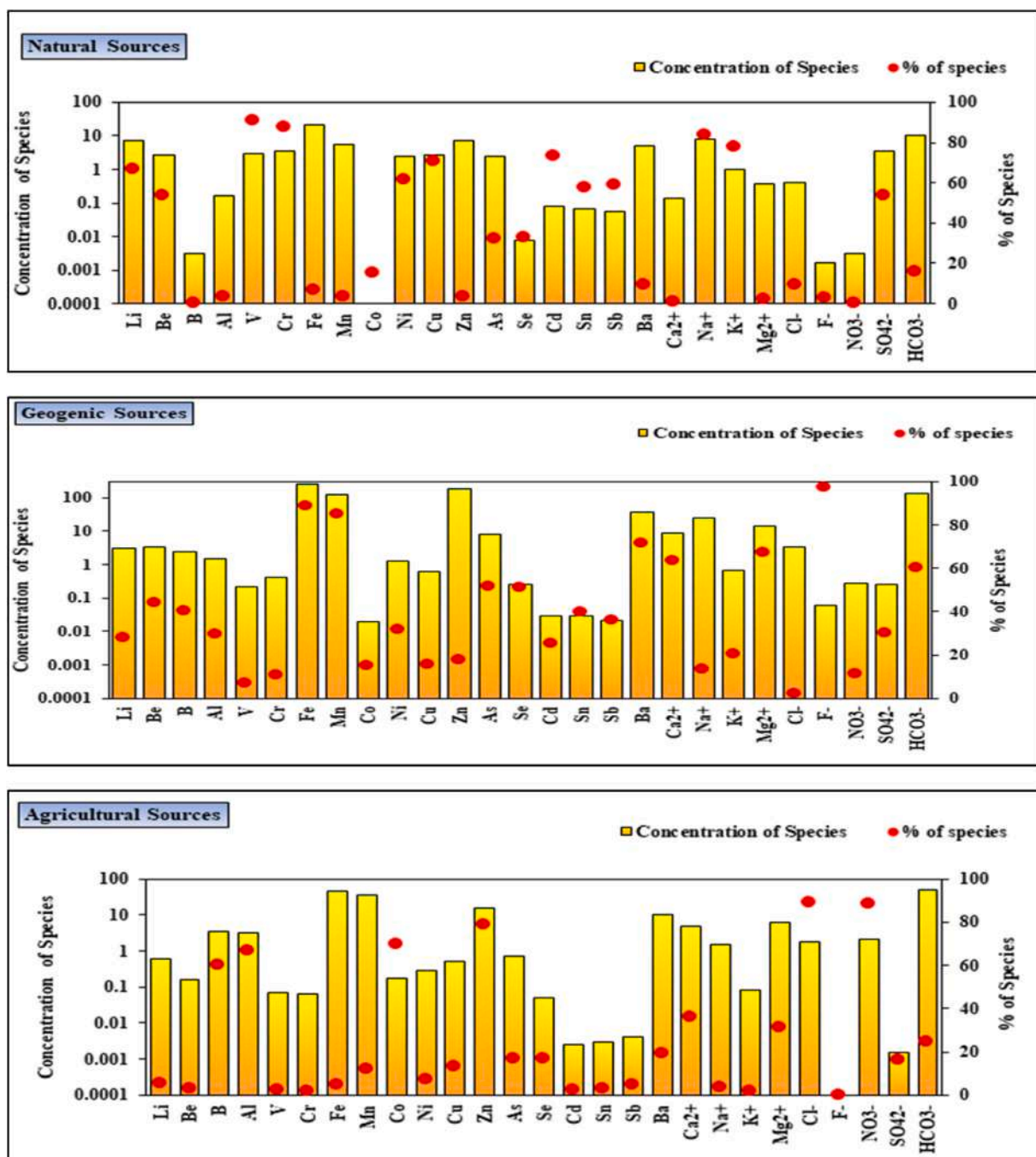


Fig. 6. Factor profile of chemical species in groundwater determined using EPA PMF5.0 receptor model for post-monsoon period.

#### 4.5. Inter-comparison of PCA and PMF results

Source identifications and quantitative source contribution estimates of groundwater chemical contaminants were performed using the PCA and PMF 5.0 receptor modelling techniques, respectively. Both methods are different in the aspects of the software, method, and associated operations. In PCA, scree plot and KMO values were used to estimate the principal components or number of factors. Whereas in PMF 5.0, factor fingerprint represented the factor contribution of each chemical species, which was used to identify the marker species for each factor. Although both models have shown a similar source of the chemical contaminant of groundwater samples. But PCA technique is limited to predicting the possible source factors, whereas PMF5.0 results are quantitative and more precise as it is applicable in complete data including outliers and

can estimate each source's composition (Comero et al., 1999).

#### 4.6. Health risk assessment

Health risk assessment is an important aspect to understand the potential of trace elements to cause health hazards in humans via different exposure routes. Health risk has been evaluated for the heavy elements in groundwater samples through oral and dermal exposure routes in children and adults. For evaluating the annual health risk data, the mean value of PRM and POM concentrations has been considered. Outcomes of health risk analysis relevant to the carcinogenic and non-carcinogenic risks have been summarized in Table 4.

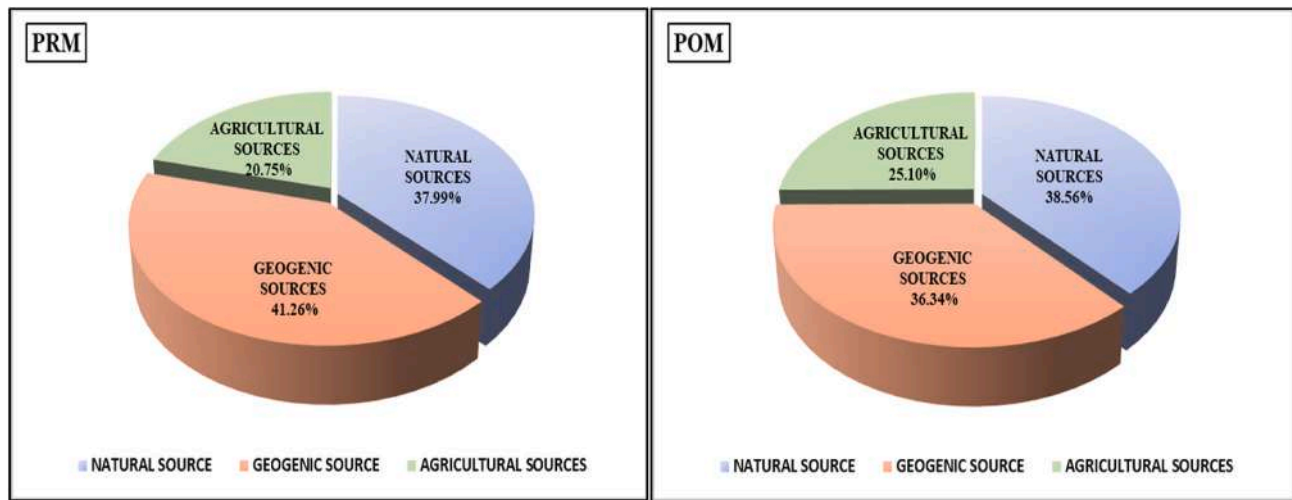


Fig. 7. Estimation of average contributions of sources in PRM and POM using EPA PMF 5.0 model.

Table 4

Average daily dose of each element from different exposure pathways: HQ, hazard quotient; HI, hazard index of groundwater; CR, Carcinogenic Risk.

| Non-Carcinogenic Risk        |  |          |  |          |                   |            |                 |          |            |            |
|------------------------------|--|----------|--|----------|-------------------|------------|-----------------|----------|------------|------------|
| Species                      | CDI (mg.kg <sup>-1</sup> . day <sup>-1</sup> ) |          | DAD (mg.kg <sup>-1</sup> . day <sup>-1</sup> ) |          | HQ <sub>ing</sub> |            | HQ <sub>d</sub> |          | HI         |            |
|                              | Children                                       | Adult    | Children                                       | Adult    | Children          | Adult      | Children        | Adult    | Children   | Adult      |
| Al                           | 4.62E-04                                       | 1.98E-04 | 1.22E-06                                       | 7.12E-07 | 4.62E-04          | 1.98E-04   | 1.22E-06        | 7.12E-07 | 4.63E-04   | 1.99E-04   |
| As                           | 4.84E-04                                       | 2.07E-04 | 1.28E-06                                       | 7.46E-07 | 1.61 E+00         | 6.91E-01   | 4.26E-03        | 2.49E-03 | 1.62 E+00  | 6.94E-01   |
| Ba                           | 4.70E-03                                       | 2.01E-03 | 1.24E-05                                       | 7.25E-06 | 2.35E-02          | 1.01E-02   | 8.86E-04        | 5.18E-04 | 2.44E-02   | 1.06E-02   |
| Be                           | 4.10E-04                                       | 1.76E-04 | 1.08E-06                                       | 6.32E-07 | 2.05E-01          | 8.78E-02   | 7.72E-02        | 4.51E-02 | 2.82E-01   | 1.33E-01   |
| B                            | 6.78E-04                                       | 2.90E-04 | 1.79E-06                                       | 1.05E-06 | 3.39E-03          | 1.45E-03   | 8.95E-06        | 5.23E-06 | 3.40E-03   | 1.46E-03   |
| Cd                           | 7.52E-04                                       | 3.22E-04 | 1.99E-06                                       | 1.16E-06 | 7.52E-01          | 3.22E-01   | 3.97E-02        | 2.32E-02 | 7.92E-01   | 3.46E-01   |
| Co                           | 5.80E-05                                       | 2.49E-05 | 1.53E-07                                       | 8.95E-08 | 1.93E-01          | 8.28E-02   | 5.10E-04        | 2.98E-04 | 1.94E-01   | 8.31E-02   |
| Cr                           | 9.56E-05                                       | 4.10E-05 | 2.52E-07                                       | 1.47E-07 | 3.19E-02          | 1.37E-02   | 3.36E-03        | 1.97E-03 | 3.52E-02   | 1.56E-02   |
| Cu                           | 1.41E-04                                       | 6.06E-05 | 3.73E-07                                       | 2.18E-07 | 3.53E-03          | 1.51E-03   | 9.33E-06        | 5.45E-06 | 3.54E-03   | 1.52E-03   |
| Fe                           | 2.49E-02                                       | 1.07E-02 | 6.56E-05                                       | 3.84E-05 | 3.55E-02          | 1.52E-02   | 9.38E-05        | 5.48E-05 | 3.56E-02   | 1.53E-02   |
| Li                           | 4.24E-04                                       | 1.82E-04 | 1.12E-06                                       | 6.55E-07 | 2.12E-01          | 9.09E-02   | 5.60E-04        | 3.27E-04 | 2.13E-01   | 9.13E-02   |
| Mn                           | 1.08E-02                                       | 4.62E-03 | 2.85E-05                                       | 1.66E-05 | 7.71E-02          | 3.30E-02   | 2.03E-04        | 1.19E-04 | 7.73E-02   | 3.31E-02   |
| Ni                           | 2.16E-04                                       | 9.25E-05 | 5.70E-07                                       | 3.33E-07 | 1.08E-02          | 4.62E-03   | 7.12E-04        | 4.16E-04 | 1.15E-02   | 5.04E-03   |
| Sb                           | 3.79E-06                                       | 1.62E-06 | 1.00E-08                                       | 5.84E-09 | 9.47E-03          | 4.06E-03   | 1.67E-04        | 9.74E-05 | 9.64E-03   | 4.16E-03   |
| Se                           | 9.30E-06                                       | 3.99E-06 | 2.46E-08                                       | 1.44E-08 | 1.86E-03          | 7.97E-04   | 4.91E-06        | 2.87E-06 | 1.87E-03   | 8.00E-04   |
| V                            | 4.60E-05                                       | 1.97E-05 | 1.22E-07                                       | 7.10E-08 | 9.21E-03          | 3.95E-03   | 9.35E-04        | 5.46E-04 | 1.01E-02   | 4.49E-03   |
| Zn                           | 1.45E-02                                       | 6.22E-03 | 3.83E-05                                       | 2.24E-05 | 4.83E-02          | 2.07E-02   | 1.28E-04        | 7.46E-05 | 4.85E-02   | 2.08E-02   |
| F <sup>-</sup>               | 1.02E-02                                       | 4.38E-03 | 2.70E-05                                       | 1.58E-05 | 2.56E-01          | 1.10E-01   | 6.75E-04        | 3.94E-04 | 2.56E-01   | 1.10E-01   |
| NO <sub>3</sub> <sup>-</sup> | 1.36E-01                                       | 5.82E-02 | 3.58E-04                                       | 3.84E-05 | 8.49E-02          | 3.64E-02   | 2.24E-04        | 2.40E-05 | 8.51E-02   | 3.64E-02   |
| Sum                          | 2.05E-01                                       | 8.77E-02 | 5.40E-04                                       | 1.45E-04 | 3.57E + 00        | 1.53E + 00 | 1.30E-01        | 7.57E-02 | 3.70E + 00 | 1.61E + 00 |
| Carcinogenic Risk            |  |          |  |          |                   |            |                 |          |            |            |
| Species                      | CDI (mg.kg <sup>-1</sup> . day <sup>-1</sup> ) |          | DAD (mg.kg <sup>-1</sup> . day <sup>-1</sup> ) |          | CR <sub>ing</sub> |            | CR <sub>d</sub> |          | CR         |            |
|                              | Children                                       | Adult    | Children                                       | Adult    | Children          | Adult      | Children        | Adult    | Children   | Adult      |
| As                           | 4.84E-04                                       | 2.07E-04 | 1.28E-06                                       | 7.46E-07 | 7.26E-04          | 3.11E-04   | 1.92E-06        | 1.12E-06 | 7.28E-04   | 3.12E-04   |
| Cr                           | 9.56E-05                                       | 4.10E-05 | 2.52E-07                                       | 1.47E-07 | 4.78E-05          | 2.05E-05   | 5.05E-06        | 2.95E-06 | 5.28E-05   | 2.34E-05   |
| Sum                          | 5.79E-04                                       | 2.48E-04 | 1.53E-06                                       | 8.94E-07 | 7.73E-04          | 3.31E-04   | 6.96E-06        | 4.07E-06 | 7.80E-04   | 3.36E-04   |

4.6.1. Non-carcinogenic risk assessment

To compute the extent of health hazard resulted due to the exposure to trace and potentially toxic heavy metals/metalloids (n = 19), hazard quotient (HQ) and hazard index (HI) were evaluated. Reference Dose (RfD) values were available only for Al, As, Ba, Be, B, Cd, Co, Cr, Cu, Fe, Li, Mn, Ni, Sb, Se, V, Zn, F<sup>-</sup>, and NO<sub>3</sub><sup>-</sup>. Therefore, the non-carcinogenic risk was evaluated only for these elements. The computed overall non-carcinogenic ingestion risk (HQ<sub>ing</sub>) values for children was (3.57 E+00) while for adults (1.53 E+00). The lowest and the highest non-carcinogenic risk associated with exposure via ingestion was estimated for Al and As. It is noteworthy that HQ<sub>ing</sub> for all the elements was <1.00 E+00, except for As in children. The HQ<sub>ing</sub> values of As for children was

(1.61 E+00), which indicates that children are more susceptible to the non-carcinogenic risk posed by drinking As contaminated water. Higher ingestion of As may have a negative impact on the growth of children and may trigger cardiovascular diseases (WHO, 2011). The total non-carcinogenic dermal risk (HQ<sub>d</sub>) value for adults and children was 1.30E-01 and 7.57E-02, respectively, within the safe limit of HQ<sub>d</sub> = 1.00 E+00 for all the elements. It indicates non-carcinogenic dermal risk in adults as well as in children.

Non-carcinogenic total risk estimated by HI<sub>total</sub> value evaluated for both the exposure routes both in children and adults was found 3.70 E+00 and 1.61 E+00, respectively, above the threshold limit of 1.00 E+00. The HI value of all other elements was within the limit except for

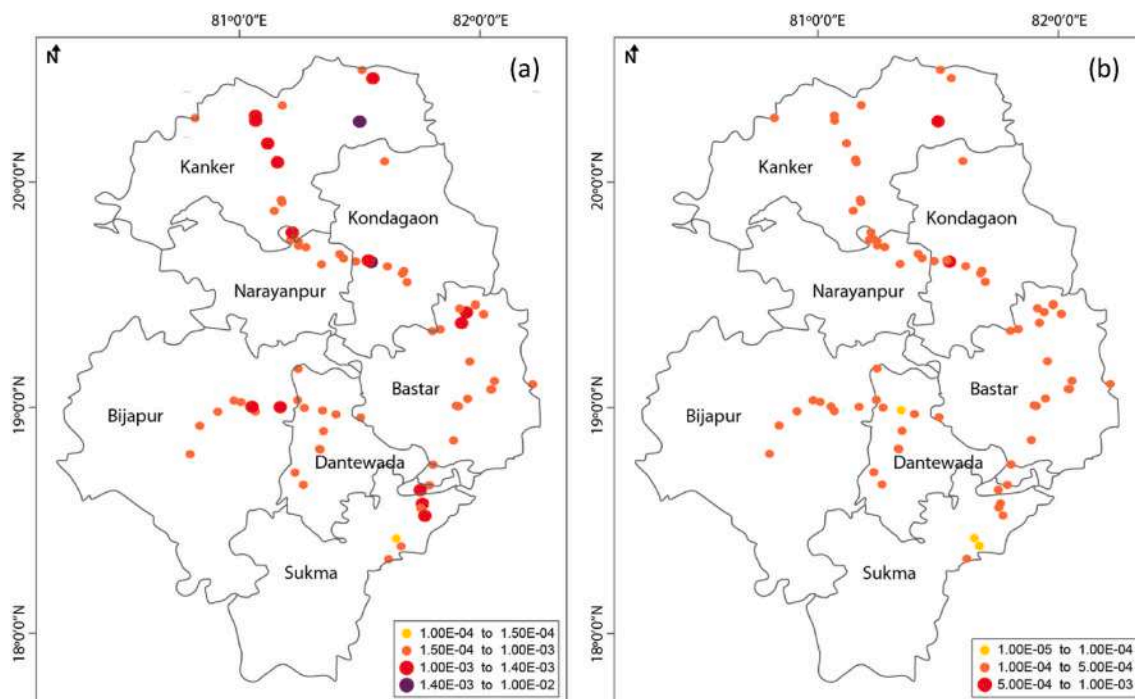


Fig. 8. Total Risk distribution for (a) children; and (b) adults.

As ( $1.62 \text{ E}+00$ ) in children. The results show that the non-carcinogenic risk is posed only by the As in the groundwater of the study area and children are more susceptible to risk than adults.

#### 4.6.2. Carcinogenic risk assessment

The potential carcinogenic risk was estimated for only As and Cr as the slope factor was available only for these two elements. The overall carcinogenic risk calculated via the ingestion route ( $\text{CR}_{\text{ing}}$ ) was  $7.73\text{E}-04$  in children and  $3.31\text{E}-04$  in adults, both above the maximum threshold limit ( $1.00\text{E}-04$ ). The results estimated for groundwater of the study area have shown that among the two elements, As has a higher CR the ingestion risk is  $7.76\text{E}-04$  and  $3.11\text{E}-04$  for children and adults, respectively. It suggests that lifelong consumption of As-rich water may raise the cancer risk in the inhabitants. The total carcinogenic dermal risk ( $\text{CR}_d$ ) in children and adults was below the safe limit ( $1.00\text{E}-04$ ). The total carcinogenic risk (CR) from As and Cr in children and adult groups were found to be  $7.80\text{E}-04$  and  $3.36\text{E}-04$ , respectively.

While As resulted in CR value of  $7.28\text{E}-04$  in children and  $3.12\text{E}-04$  in adults. The results suggested that the carcinogenic risk is more vulnerable to children than to adults. CR distribution by samples on the study area is presented in Fig. 8. The CR distribution shows that the geogenic sources (mineral leaching) may be the major contributor of arsenic in the groundwater of the study region. Volcanic rock weathering leads to the leaching of arsenic onto ferric hydroxide and gets deposited with the sediment which later on interaction with groundwater aquifers result in As contamination of groundwater (Pandey et al., 2006).

## 5. Conclusion

This study reports the spatiotemporal variability, source apportionment, and health risk assessment of trace and toxic elements, including heavy metals, ions, and metalloids in the groundwater of the tribal belt of the Bastar region. The concentration of Al, As, Fe, Mn, and Ni were significantly higher than the permissible limit in the groundwater of the study area in both PRM and POM. Source apportionment result of PCA and PMF shows that Natural, geogenic, and agricultural sources contributed to the groundwater chemical contaminants in the study

area.

The exposure risk model has shown that all elements were under a safe limit except for As, which has shown higher carcinogenic and non-carcinogenic risk values. Result predicts that prolonged exposure to arsenic through contaminated water may cause carcinogenic or non-carcinogenic risks among the inhabitants of the study area. It is noteworthy that children were more susceptible to the carcinogenic and non-carcinogenic risk as compared to adults. Hence, a conclusion can be drawn that the overall groundwater of the field area is appropriate for both drinking except for some locations where concentrations of some trace elements, especially As are higher and require proper groundwater treatment. Very few works have been done in the Bastar region on groundwater quality. Thus, this study would provide detailed knowledge so that policymakers would take proper steps to improve the water quality of the Bastar region. Further awareness among the people regarding the harmful health impacts resulting from toxic elements in groundwater is desired.

#### Declaration of competing interest

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: Shamsh Pervez reports financial support was provided by Science and Engineering Research Board. Princy Dugga reports financial support was provided by University Grants Commission.

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## Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.gsd.2021.100628>.

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## Using functionalized asphaltenes as effective adsorbents for the removal of chromium and lead metal ions from aqueous solution

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### ABSTRACT

For the first time, functionalized asphaltene has been designed, synthesized, and used for the removal of heavy metals from the water. Asphaltene was separated from the crude oil with the addition of n-alkanes. Asphaltene having a complex chemical structure including multilayered and clustered aromatic fused rings bearing aliphatic chains. Asphaltene also contains heteroatoms like N, S, and O atoms along with Ni and V as prominent trace metals. On functionalization of asphaltene with nitric acid, the aliphatic chains and some of the naphthenic rings broke down and developed  $-\text{COOH}$ ,  $-\text{C}=\text{O}$ ,  $\text{C}-\text{O}$ , and other oxygen functional groups which are playing key roles as surface-active agents in the removal of the heavy metals via chemisorption. The study was conducted using different parameters such as dose, time, pH, and concentration. The adsorption efficiency for this material at pH 4 is excellent for the removal of chromium and lead. The Langmuir, Freundlich and Temkin adsorption isotherm models as well as Lagergren pseudo second-order kinetic model were investigated. The positive enthalpies  $\Delta H_s$  confirm that the adsorption process is endothermic and the negative free energies  $\Delta G_s$  confirm the spontaneity of the process. The good efficiency of the adsorption implies the efficacy in the removal of the heavy metal ions, as well as the good efficiency in desorption, which implies the excellent recovery of the adsorbent. The effective reusability of this adsorbent makes it applicable for industrial water treatment from contaminants.

### 1. Introduction

The consumption of crude oil increased dramatically in the last decades. About 70% of the heavy crude oil residue is drilled out and a very small amount is being used without significant process (Speight, 1990).

One of the fractions that are considered as the most troublemaker is the asphaltenes in the refinery and cracking processing of the petroleum, that's due to the precipitation of the asphaltenes can reduce the flow of the oil and also can lead to blockage problems in several types of equipment (Cimino et al., 1995). Moreover, these compounds can form sludges and can deactivate the hydro-desulfurization and hydro-cracking catalysts which lead to a reduction in conversion efficiency for the two processes (Bartholomew, 1994; Miyauchi and de Wind, 1994).

The structure of these compounds was very difficult to study due to their chemical complexity & composition, it was reported that this material is composed of poly-aromatic groups in the center connected with alicyclic and aliphatic groups along with some heteroatoms and some metal ions (Hasan et al., 1988; Shirokoff et al., 1997).

The contamination of water by heavy metal ions is a very serious issue, the source of these metals are different industries such as mining, battery, and other chemical industries. Small concentrations of these heavy metal ions can cause dangerous diseases such as anaemia, cancer, renal and kidney failure, mental retardation, and other serious diseases (Nordberg et al., 2014).

These metal ions are non-biodegradable that's why they need to be removed from the water. There are many methods available for the removal of these materials such as hydroxide or sulfide precipitation, ion

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exchange, flocculation, membrane separation, and adsorption. Among these methods, adsorption is one of the most promising and effective methods due to its eco-friendly, simple, cost-effectiveness, and applicability for the industry.

Many sorbents are used for the removal of these heavy metals such as activated carbon (Rao et al., 2007), fly ash (Ayala et al., 1998), peat (Ho and McKay, 1999), recycled alum sludge (Chu, 1999), peanut hulls (Brown et al., 2000), resins (Diniz et al., 2002), kaolinite (Arias et al., 2002), zeolite (Biškup and Subotić, 2005), biomaterials, carbon nanomaterial (Ekmekyapar et al., 2006; Li et al., 2004), and multi-walled carbon nanomaterial (Rao et al., 2007) Graphene Oxide (Sahoo and Hota, 2019). But there are several drawbacks for metal oxides due to their poor stability and corrosion. On the other hand, carbon-based materials such as graphene oxide (GO), carbon nanotubes (CNTs), and different forms of carbon have been widely used due to their high surface area, stability, and reusability. Moreover, recently the functionalization of carbon-based materials has attracted great attention due to their strong affinity towards metal ions (Shaikh et al., 2021; Siddiqui, 2017; Siddiqui et al., 2020, 2021; Suliman et al., 2020). Functionalization of carbon-based material can generate high surface active sites such as -OH, -COOH, -C=O, -C-O, -NH<sub>2</sub>, and -S- etc resulting in enhanced hydrophilicity and wettability as well as they play key roles for strong interaction with metal ions by complexation, hard-hard or borderline hard and soft interaction. Although, GO and CNTs have been widely used as an adsorbent but due to their limitations and cost-effectiveness, it is needed to develop low cost, reusable and environmentally friendly materials.

Looking to the current scenario, in this work the asphaltenes have been isolated and functionalized as adsorbents for the removal of water pollutants such as some types of heavy metals ions (Cr and Pb). Hexavalent chromium and lead are known to be mutagenic and carcinogenic in nature (IARC 2012). WHO water quality standards recommend permissible limits of hexavalent Cr (0.05 mg.L<sup>-1</sup>) and Pb (0.01 mg.L<sup>-1</sup>) for potable water (WHO, 2017). Many industrial effluents including textile industries, metal finishing, leather tanneries and lead acid batteries are known for higher contents of Cr(VI) and Pb(II) ions and contaminate the adjoining natural water streams and soils (Mahato et al., 2016; Singh et al., 2017). Looking in to the advantages of the functionalized asphaltene, different functional group such as -OH, COOH, -NH<sub>2</sub>, -S- etc act as chelation centres to bind with metal ions and remove the Cr(VI) and Pb(II) from water via hard-hard or borderline hard and soft interaction (Ravikumar et al., 2016). In cases of most of the environmental samples, Cr(VI) and Pb(II) are found in ionic forms and asphaltene has different functional groups which can bind either with coordinated bond due to presence of lone pair of electron on O, N and C or ion exchange mechanism takes place at certain pH (Xiao and Lin, 2020).

There are several advantages to use functionalized asphaltene such as reusability, low cost, durability, and the presence of surface-active functional groups such as -COOH, -OH, -C=O, -C-O, -NH<sub>2</sub>, and -S- etc show more promising behaviour to adsorb metal ions. Heavy metal ions could interact with the hydrophilic functional group through H-bonding or complexation and electrostatic interaction and can adsorb more metal ions than non-functionalized (Coughlin and Ezra, 1968; McKay et al., 1985; Yang and Xing, 2010). As functionalized asphaltene is metal-free and has high surface-active agents influencing the adsorption performance and can open the new window to develop sustainable material from the waste.

## 2. Experimental

### 2.1. Asphaltenes separation

About 7.0 g of Arabian heavy residue was transferred to a beaker and heated with a very small amount of n-heptane. The solution was mixed properly and transferred to 2 L container, then to this solution 700 ml of

n-heptane was added. The solution was placed in a mechanical shaker with a water bath. To increase the residue solubility in the heptane, it was heated at 90 °C for 2 h with continuous stirring. Then the solution was covered using aluminium foil and was stand for cooling overnight. The gradual cooling helps to produce efficient precipitation of asphaltenes. After that, the solution was filtered with 0.8 µm pore size filter paper. The residue part was extracted by soxhlet using toluene as extracting solvent, and the extract was filtered with the same micro-filter paper. After the evaporation of the extract, the asphaltenes were collected in a beaker. Then it was washed many times with a small amount of n-heptane. Finally, the solid asphaltene was dried at 105 °C for 2 h.

#### 2.1.1. Functionalization of asphaltenes

About 10 g of the asphaltenes were dispersed in nitric acid (70%) and the solution was sonicated for 60 min. The mixture was refluxed for 6 h. Then the mixture was cooled down. The functionalized asphaltenes were washed with water many times until the deionized water became clear, then the asphaltenes were dried for 24 h in an oven at 100 °C and were pulverized in a ball mill.

### 2.2. Sample characterization

The functionalized asphaltenes were characterized using scanning electron microscopy (SEM) and Fourier transform infrared spectroscopy (FTIR). FESEM/FIB/GIS (Tescan Lyra-3) was used for the morphological studies of the material operated at 20.0 kV. Nicolet 6700 FT-IR spectrometer was used in the range 400–4000 cm<sup>-1</sup> for the FT-IR spectral measurements of the material. The Brunauer–Emmett–Teller (BET) analysis was performed using a micromeritics (Tristar II PLUS) instrument under the continuous adsorption conditions to determine the surface area.

### 2.3. Adsorption experiments

The adsorption properties of the adsorbent for Cr and Pb ions were determined by spectrophotometric method; the procedure for heavy metal ions adsorption was as follows: A mixture of adsorbent (200 mg) in 25 ml of 50 mg/L heavy metals ions solution was stirred using a temperature-controlled shaker-bath at various pH for overnight. pH was adjusted using both 0.1M and 1.0M nitric acid and 0.1M and 1M sodium hydroxide solutions; at pH 4 the adsorption was relatively higher than neutral pH. The adsorbent was filtered and the filtrate is then analyzed by an AAS spectrophotometer to find out the remaining heavy metals ion concentration.

The capacity of adsorption ( $q_{ion}$ ) was calculated by Eq. (1):

$$q_{ions} = \frac{(C_i - C_e) V}{W} \text{ mmol/g} \quad (1)$$

Where  $C_i$  and  $C_e$  are the initial and equilibrium concentrations of heavy metals ion respectively,  $W$  is the weight of the adsorbent in g and  $V$  is the volume of the solution in a milliliter (Sahoo et al., 2019).

Adsorption kinetic studies were carried out by stirring 25 ml of 50 mg/L solution in a preferred pH buffer with the adsorbent (200 mg) at different temperatures and determining the heavy metals ion concentrations by taking a small amount of filtered aliquots at various time intervals. Adsorption isotherms were constructed by determining the adsorption capacities of the adsorbent at different heavy metals ion Concentration ranging from 10 mg/L to 100 mg/L at ambient temperature. Experiments were carried out at different temperatures, thermodynamic parameters such as a change in enthalpy  $\Delta H$ , change in free energy  $\Delta G$ , and change in entropy  $\Delta S$  were calculated.

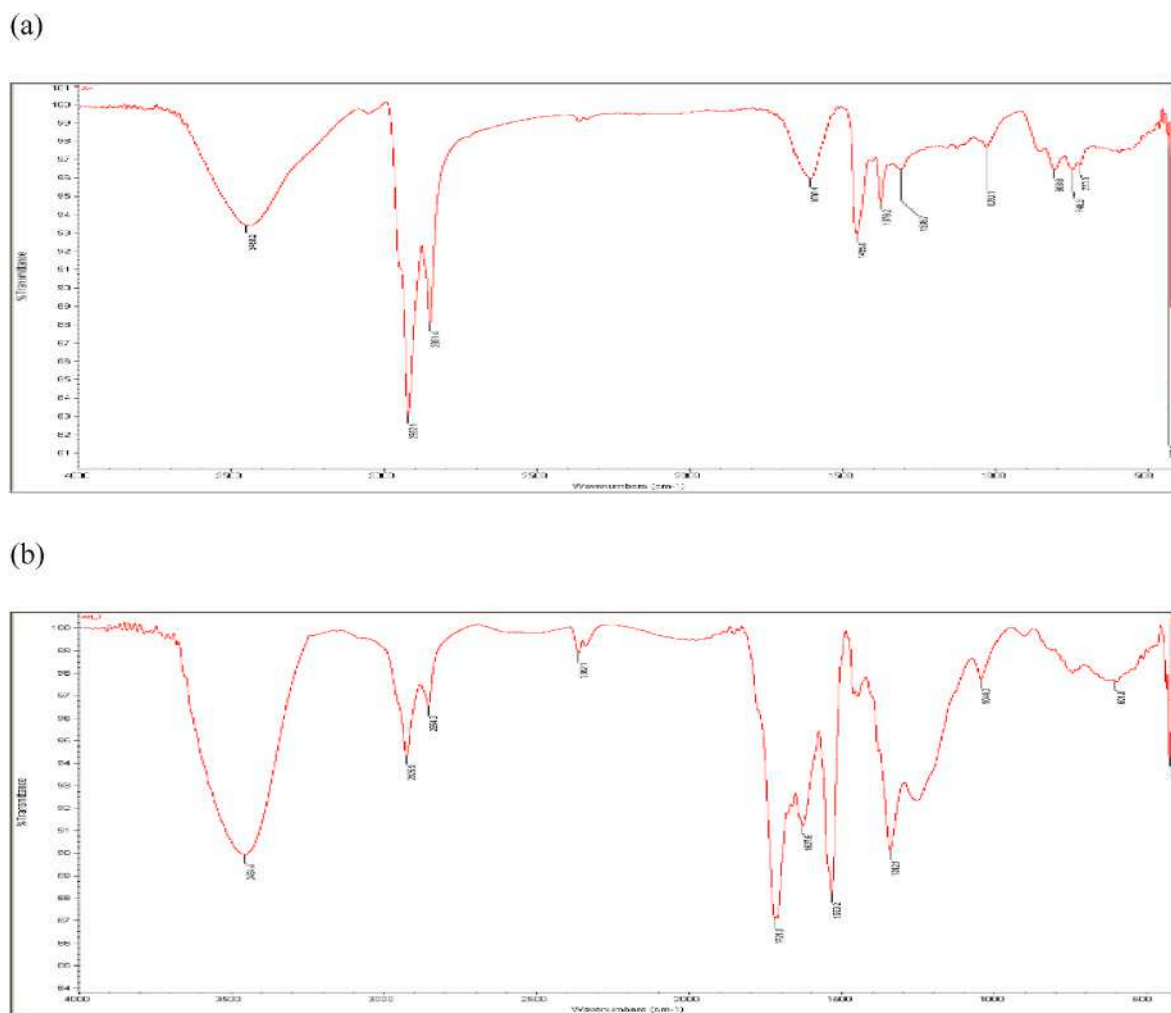


Fig. 1. (a) IR spectra of virgin asphaltenes (b) IR spectra of asphaltenes after functionalization.

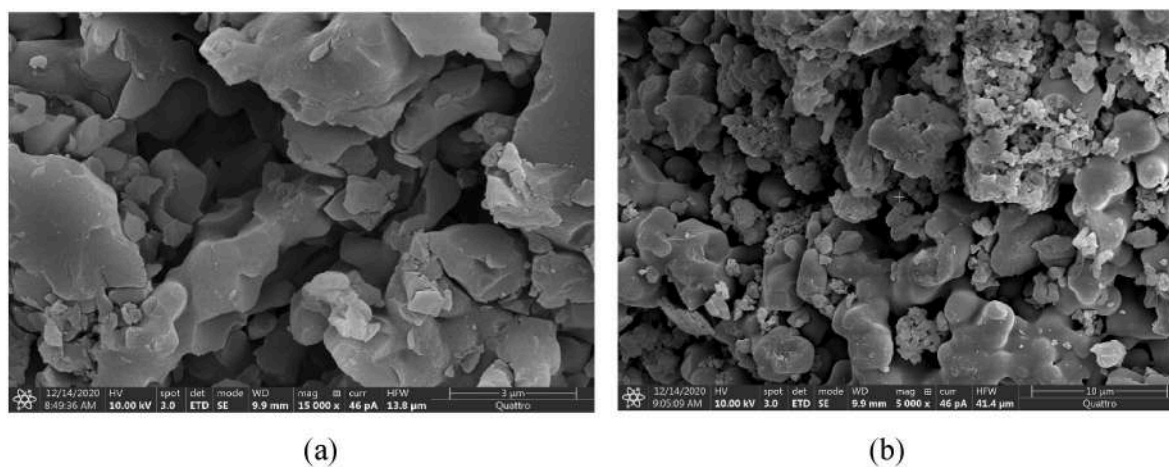


Fig. 2. (a) SEM of AH virgin asphaltenes (b) SEM of AH functionalized asphaltenes.

### 3. Results and discussion

#### 3.1. Functionalized asphaltenes characterization

The functionalized asphaltenes were characterized using different techniques: SEM, FT-IR, Elemental analysis, and EDX.

Fig. 1 represents the IR spectra of AH asphaltene and functionalized asphaltene. The presence of a broad peak at  $3450\text{ cm}^{-1}$  is due to the  $\text{-OH}$  stretching frequency in both samples. After functionalization, the peak appeared at  $1720\text{ cm}^{-1}$ , which confirms the presence of the carbonyl ( $\text{C=O}$ ) group compared to the non-functionalized. Moreover, there are other peaks such as at  $2925\text{ cm}^{-1}$  and  $2854\text{ cm}^{-1}$  are due to the  $\text{-NH}$

**Table 1**  
Elemental analysis (%).

| Sample | C     | H    | N    | S    | O (by difference) |
|--------|-------|------|------|------|-------------------|
| AH     | 81.28 | 7.44 | 1.19 | 7.17 | 2.92              |
| AH-F   | 57.08 | 4.13 | 5.31 | 5.65 | 27.83             |

stretching vibration of the amine group.  $1627\text{ cm}^{-1}$  C=C stretching of the aromatic ring,  $1533\text{ cm}^{-1}$  is due to  $\text{NH}_2$  bending,  $1455\text{ cm}^{-1}$  is due to C-OH,  $1376\text{ cm}^{-1}$  and  $1342\text{ cm}^{-1}$  are due to pyridinic ring stretching,  $1044\text{ cm}^{-1}$  C-O and stretching vibration and bending vibration of secondary amine,  $748\text{ cm}^{-1}$  is due to C-S stretching frequency (Du et al., 2020; Pooja et al., 2017; Sahoo and Hota, 2019) All the data confirm the presence of functional groups in asphaltene which significantly play a key role in the formation of the chelate ring or complex with the metals.

Fig. 2 (a) shows the SEM image of AH virgin asphaltenes and Fig. 2 (b) shows the functionalized asphaltenes. SEM images clearly show the morphological difference between both images.

After functionalization of asphaltene, it became spongy because of the gaining of the extra-functional groups like -OH and -COOH etc.

The type of porosity and the surface area of the virgin asphaltene and functionalized asphaltene were obtained by the nitrogen adsorption isotherm (BET). The surface area of the virgin asphaltene was found to be  $5.4144\text{ m}^2/\text{g}$ . However, the functionalized asphaltene has a significantly lower surface area of  $3.8268\text{ m}^2/\text{g}$ . This is a substantial drop in the surface area of functionalized asphaltene is due to the development

of oxygen moieties on the surface of virgin asphaltene after functionalization. Similarly, adsorption average pore width was found to be  $64.4673\text{ \AA}$  for virgin asphaltene and  $52.8895\text{ \AA}$  for functionalized asphaltene. The higher values of surface area and average pore width in asphaltene are due to the development of several oxygen containing functional and chemical groups on functionalized asphaltene.

Table 1 shows the elemental analysis of asphaltenes and functionalized asphaltenes, this clearly shows the gaining of extra oxygen after functionalization, which has increased from 2.92% to 27.83%. This indicates the very good functionalization of the asphaltenes, and SEM image also reveals the functionalization of asphaltene. Variation in the concentrations (%) of major constituent elements (C, H, N, S) of functionalized asphaltene (carbon C-81.28 to 57.08, H-7.44 to 4.13, N- 1.19 to 5.31 and S- 7.17 to 5.65%) indicates the gaining of extra elements after functionalization These functional groups act as chelating agents and play a key role to bind the metal ions via complexation.

### 3.2. Adsorption properties of the adsorbent

The adsorbent showed high ion exchange capacity (IEC), and excellent adsorption ability for heavy metal ions due to the presence of different kinds of functional groups available such as -OH, COOH, -C=O, -C-O, -NH<sub>2</sub>, and -S- etc which are responsible for ion-exchange and complex formation. Because of these functional groups, functionalized asphaltenes show promising adsorbent properties.

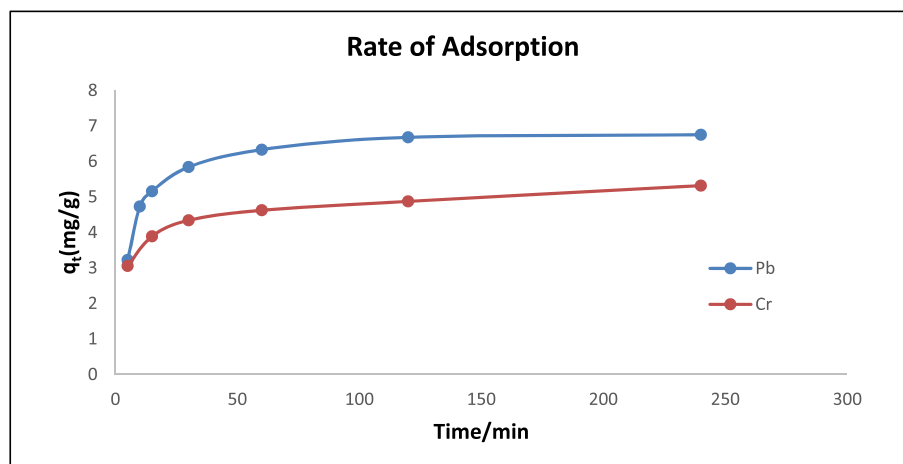


Fig. 3. Adsorption curves with the time of 25 ppm for Cr & Pb solution at their optimum pH.

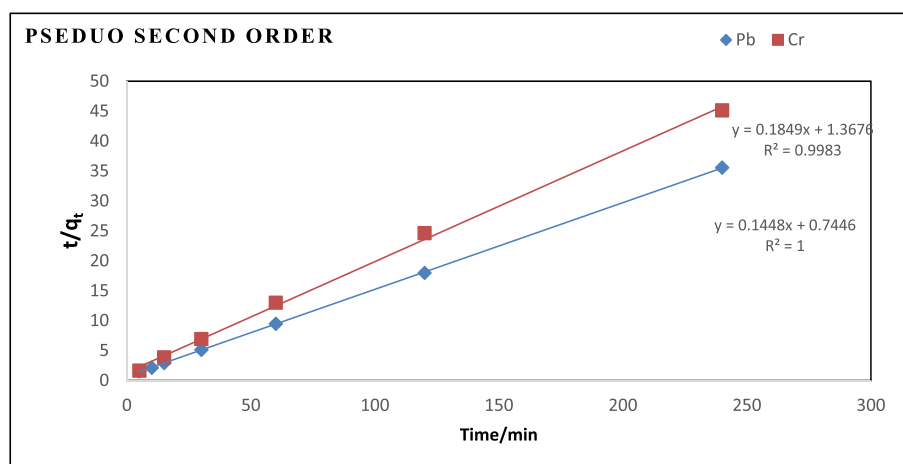


Fig. 4. Adsorption pseudo second order model for Cr and Pb at 295 K.

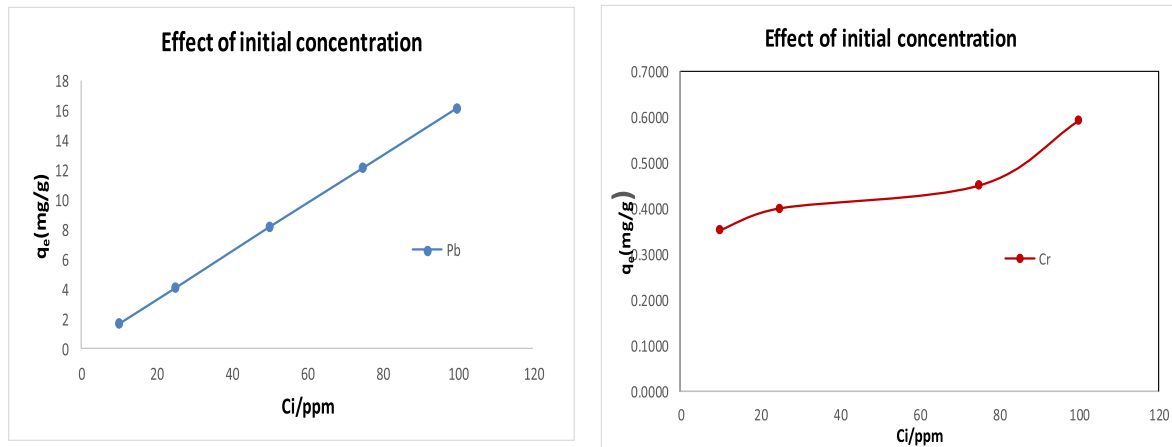


Fig. 5. The initial Concentration effect on the removal of heavy metal ions for Pb and Cr for 24 h at 25 OC.

### 3.3. Adsorption kinetics

A plot of adsorption capacity versus time determines the adsorption rate in the below Fig. 3. It has been found that the adsorption equilibrium for Cr and Pb ions by adsorbent reached about 1.0 h. Lagergren's adsorption kinetic model has been reported as an optimum method to study the properties of adsorption. The kinetic orders were expressed by the equations below (first and second-order models respectively).

$$\log(q_e - q_t) = \log q_e - \frac{k_1 t}{2.303} \quad (2)$$

$$\frac{t}{q_t} = \frac{1}{k_2 q_e^2} + \frac{t}{q_e} \quad (3)$$

Where  $k_1$  is the first-order constant and  $k_2$  is the second-order rate constant;  $q_t$  and  $q_e$  are the capacities of the adsorption for the adsorbent at time  $t$  and equilibrium. Although Cr and Pb both gave regression values ( $R^2$ ) above 0.9 for the pseudo-first-order Lagergren kinetic model. In Fig. 4 linear plot between  $t/q_t$  and time indicates that Cr and Pb were best fitted for the second-order model and hence more appropriate to explain the adsorption kinetics of both the elements (Fan et al., 2020).

### 3.4. Initial concentration effect on the removal of Cr and Pb

The adsorption capacity of adsorbent with change in initial concentrations of Cr and Pb metal ions is depicted in Fig. 5. The effect of concentrations of Cr and Pb were observed at the range of 10–100 ppm and all other parameters were kept constant. The adsorption capacity of both Cr and Pb metal ions has shown a significant increase with increasing initial metal ion concentrations. This may probably be due to the driving force provided by the higher initial concentration to

overcome mass transfer barriers between all the molecules of solid and liquid phases which enables the attraction of metal ions from solution onto the surface of functionalized asphaltene (Gupta et al., 2012).

The Langmuir isotherm is based on the assumptions that on the structurally homogeneous adsorbent, all adsorption sites are energetically equivalent and identical as well as the intermolecular force decreases rapidly with distance. So it follows the mechanism of adsorption of a monolayer on the adsorbent surface. The Langmuir Constants and adsorption capacities can easily be calculated by linearized Langmuir isotherm equation (5) as follows

$$\frac{C_e}{q_e} = \frac{C_e}{Q_m} - \frac{1}{Q_m b} \quad (4)$$

Where  $q_e$  is millimoles of metal adsorbed per gram of the adsorbent;  $C_e$  is the metal residual Concentration in solution at equilibrium,  $Q_m$  is the maximum specific uptake corresponding to the site saturation and  $b$  is the ratio of adsorption and desorption rates, the Langmuir constant (Cabeza et al., 2002). Fig. 6 represents the plot of  $C_e/q_e$  versus  $C_e$ . On the other hand, the Freundlich isotherm model describes heterogeneous adsorption systems with uniform energy (Fig. 7); the model was expressed by the below equation:

$$Q_e = k_f C_e^{1/n} \quad (5)$$

$$\log q_e = \log k_f + \frac{1}{n} \log C_e \quad (6)$$

Where  $q_e$  the concentration at the equilibrium of the heavy metal ions on the adsorbent and  $C_e$  on the sample solution; the constants  $k_f$  and  $n$ , can be calculated from the slope and intercept.

The Temkin isotherm equation suggests that owing to adsorbent-

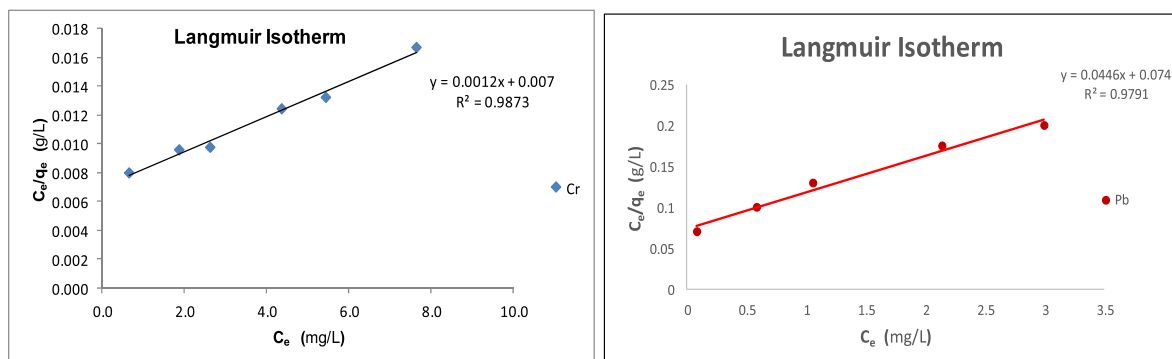


Fig. 6. Adsorption Langmuir isotherm of Cr and Pb on adsorbent.

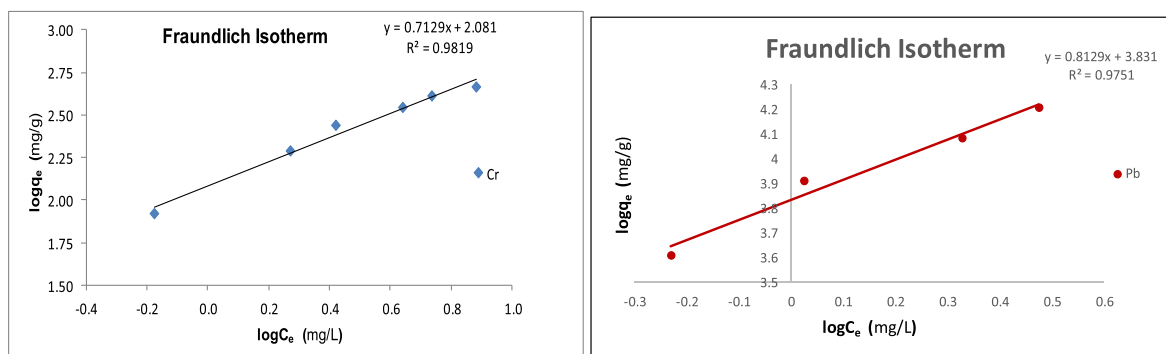


Fig. 7. Adsorption Freundlich isotherm of Cr and Pb on adsorbent.

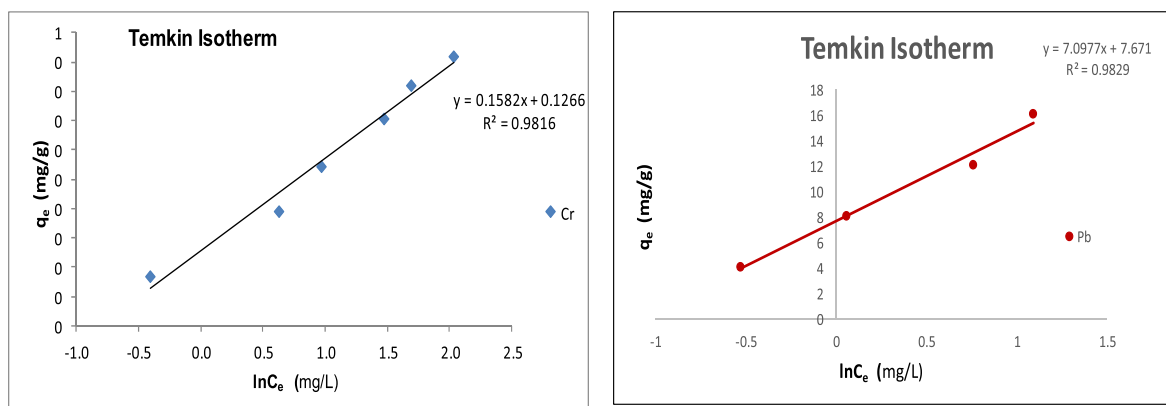


Fig. 8. Adsorption Temkin isotherm of Cr and Pb on the adsorbent.

Table 2

Langmuir Freundlich and Temkin isotherm model Constants for Cr and Pb removal.

| a)Langmuir isotherm model   |                  |  |   |                |
|-----------------------------|------------------|--|---|----------------|
| Entry No                    | Heavy metals ion | Q <sub>m</sub> (mmol g <sup>-1</sup> ) | b (dm <sup>3</sup> mmol <sup>-1</sup> ) | R <sup>2</sup> |
| 1                           | Cr               | 833.3                                  | 0.171                                   | 0.987          |
| 2                           | Pb               | 22.42                                  | 0.599                                   | 0.980          |
| b)Freundlich isotherm model |                  |  |   |                |
| Entry No                    | Heavy metal ions | n                                      | k <sub>f</sub>                          | R <sup>2</sup> |
| 1                           | Cr               | 1.402                                  | 0.008                                   | 0.982          |
| 2                           | Pb               | 1.230                                  | 0.0001                                  | 0.975          |
| c)Temkin-isotherm model     |                  |  |   |                |
| Entry No                    | Heavy metal ions | B                                      | A                                       | R <sup>2</sup> |
| 1                           | Cr               | 0.158                                  | 2.230                                   | 0.982          |
| 2                           | Pb               | 7.100                                  | 2.945                                   | 0.983          |

adsorbate interactions, the heat of adsorption of molecules in layer decreases linearly with Coverage, and the adsorption is characterized by a uniform distribution of the binding energies. The Temkin isotherm can be expressed by the following equation:

$$q_e = \frac{RT}{b} \ln(AC_e) \tag{7}$$

and can be linearized as:

$$q_e = B \ln A + B \ln C_e \tag{8}$$

Where B Corresponds to the adsorption potential of the adsorbent (KJ/mol), A is the Temkin isotherm Constant (L/g). A plot of q<sub>e</sub> versus lnC<sub>e</sub> (Fig. 8) is used to calculate the Temkin isotherm Constants A and B.

Table 3

The RL values based on the Langmuir isotherm model.

| C <sub>i</sub> (mg dm <sup>-3</sup> ) | R <sub>L</sub> value |       |
|---------------------------------------|----------------------|-------|
|                                       | Cr                   | Pb    |
| 10                                    | 0.370                | 0.143 |
| 25                                    | 0.190                | 0.063 |
| 50                                    | 0.105                | 0.032 |
| 75                                    | 0.072                | 0.022 |
| 100                                   | 0.055                | 0.016 |

Table 2 shows the Langmuir Freundlich and Temkin isotherm model Constants for Cr and Pb removal.

From the figures above we can say that the adsorption of the heavy metal ions on asphaltenes surface could be homogeneous or monolayer because the adsorption obeyed the three above isotherms.

The affinity of the adsorption of the heavy metal ions on the adsorbent surface can be described by the separation factor (R<sub>L</sub>) in the Langmuir isotherm is given in eq (9):

$$R_L = \frac{1}{(1 + bC_0)} \tag{9}$$

Where C<sub>0</sub> is the initial heavy metals ion concentration and b is the Langmuir equilibrium constant. When the value of (R<sub>L</sub>) falls between 0 and 1 it indicates the favorability of the adsorption on the adsorbent surface, as its clear in the below Table 3 the value falls in the range, and that means good adsorption favorability for the heavy metal ions on the adsorbent surface.

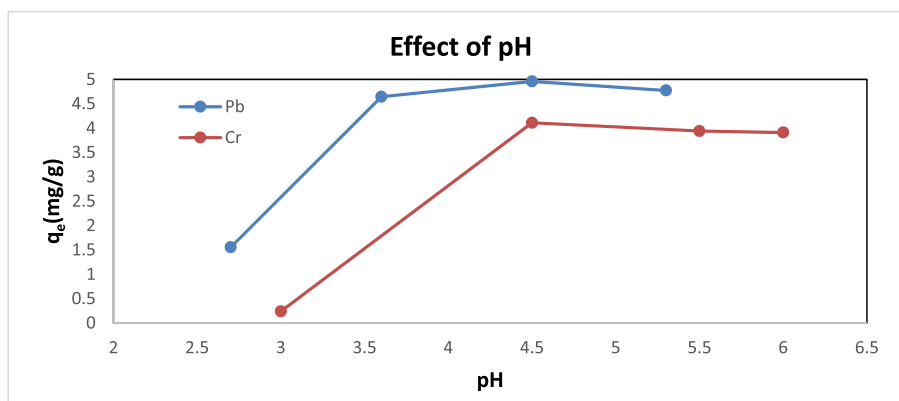


Fig. 9. pH dependence of heavy metal ions uptake by the adsorbent.

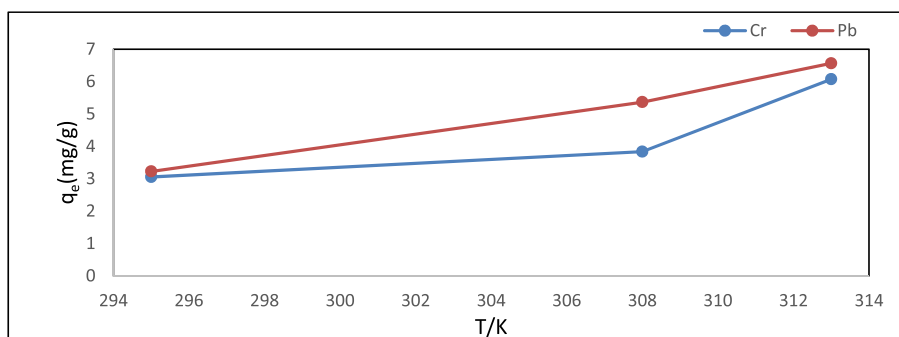


Fig. 10. Effect of temperature on the adsorption capacity of the adsorbent.

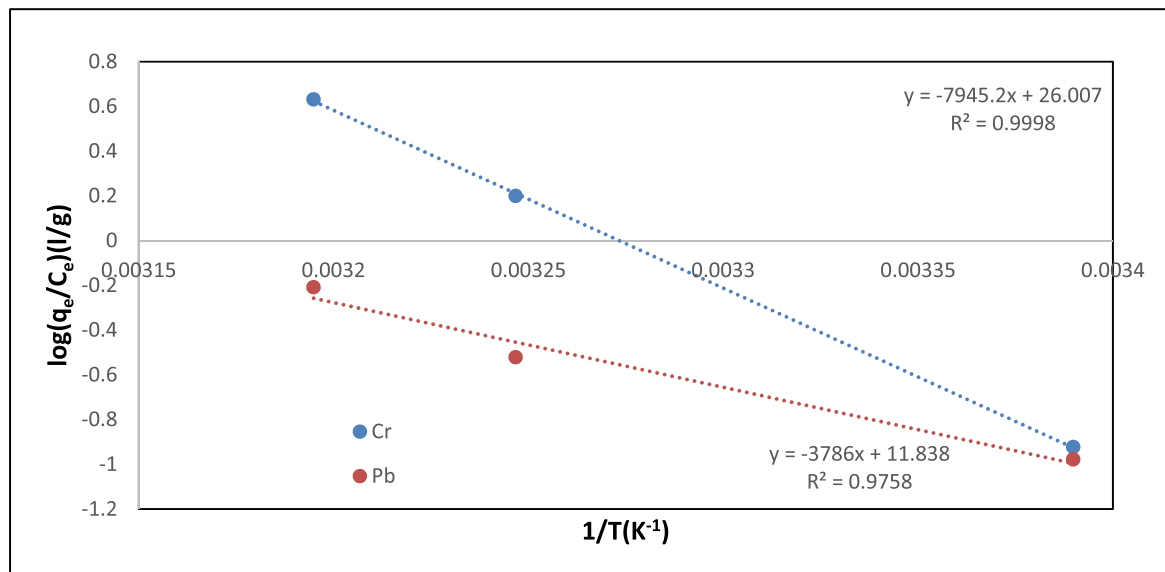


Fig. 11. Vant-Hoff's plot for adsorption of Pb and Cr on adsorbent.

### 3.5. pH and temperature effect on the removal

pH is an important parameter that determines the effectiveness of the adsorption process as with the varying pH of the solution, the degree of ionization and surface properties of adsorbent also varies. The experiment demonstrating the effect of pH on the adsorption capacity of Pb and Cr metal ions was carried out at pH ranging between 2.5 – 5 and 3–6 respectively by using acetate buffer. The optimum pH was found to be 4.5 for Cr and Pb. This could be explained that at lower pH, due to

protonation functional groups that are present at the surface are most likely linked with  $H^+$  ions, which makes them inaccessible for metal ions. At moderate pH, functional groups get deprotonated due to which the probability of attachment of metal ions increases, and hence adsorption capacity also increases. At higher pH i.e. above pH 4.5 complexes of soluble hydroxide form which tend to decrease the adsorption capacity of Cr and Pb ions. Fig. 9 indicates pH dependence of heavy metal ions uptake by the adsorbent.

The thermodynamic parameters were obtained from the adsorption

**Table 4**  
Thermodynamic Data for Cr and Pb adsorption.

| Heavy metals ion | Temp | $\Delta G$            | $\Delta H$            | $\Delta S$                            | R <sup>2</sup> |
|------------------|------|-----------------------|-----------------------|---------------------------------------|----------------|
|                  |      | KJ.mole <sup>-1</sup> | KJ.mole <sup>-1</sup> | J.K <sup>-1</sup> .mole <sup>-1</sup> |                |
| Cr               | 295  | -298.87               | -152.07               | 497.64                                | 0.9998         |
| Pb               | 295  | -139.09               | -724.64               | 225.85                                | 0.9758         |

The comparative Table S1 and Table S2 have been given in the supporting information for the adsorption efficiency of Cr and Pb respectively and showing very good removal efficiency of functionalized asphaltene than other adsorbents.

**Table 5**  
The adsorbent regeneration using 0.1M H<sub>2</sub>SO<sub>4</sub> and HCl on Chromium and Lead Adsorbent.

| (a) Treatment of 0.1M H <sub>2</sub> SO <sub>4</sub> on Chromium & Lead Adsorbent |             |           |           |
|---|-------------|-----------|-----------|
| S.No  | Sample time | Cr (mg/L) | Pb (mg/L) |
| 1   | 10min       | 0.00      | 3.41      |
| 2   | 30min       | 0.00      | 3.21      |
| 3   | 60min       | 0.00      | 3.57      |
| 4   | 120min      | 0.00      | 4.19      |
| 5   | 24 Hr       | 0.00      | 3.98      |
| (a) Treatment of HCl 0.1 M on Chromium & Lead Adsorbent                           |             |           |           |
| S.No  | Sample time | Cr (mg/L) | Pb (mg/L) |
| 1   | 10min       | 0.00      | 213.00    |
| 2   | 30min       | 0.00      | 214.00    |
| 3   | 60min       | 0.00      | 210.00    |
| 4   | 120min      | 0.00      | 211.00    |
| 5   | 24 Hr       | 0.00      | 219.00    |

**Table 6**  
The reusability of the adsorbent for a) Cr and b)Pb.

| S.No | Sample time | Cr (mg/L) |
|------|-------------|-----------|
| 1.00 | 15min       | 19.74     |
| 2.00 | 30min       | 17.58     |
| 3.00 | 60min       | 14.04     |
| 4.00 | 120min      | 8.80      |
| 5.00 | 24 Hr       | 8.00      |
| S.No | Sample time | Pb (mg/L) |
| 1.00 | 15min       | 49.90     |
| 2.00 | 30min       | 46.17     |
| 3.00 | 60min       | 45.19     |
| 4.00 | 120min      | 43.58     |
| 5.00 | 24 Hr       | 42.52     |

experiment, and the results were explained in the figure below. As clear in Fig. 10, the removal efficiency increases with the temperature, which means the adsorption is endothermic.

A plot of  $\log(q_e/C_e)$  against  $1/T$  (Fig. 11) using the Vant-Hoff equation the thermodynamic parameters were obtained, and are tabulated in Table 4 (Coşkun et al., 2006; Ramesh et al., 2007). The negative free energies  $\Delta G$ s confirm the spontaneity of the process.

$$\log\left(\frac{q_e}{C_e}\right) = -\frac{\Delta H}{2.303RT} + \frac{\Delta S}{2.303R} \quad (10)$$

The negativity of  $\Delta G$  increases with the temperature that shows that the adsorption is more favorable at higher temperatures. Favorable adsorption at higher temperatures is attributed to the greater swelling of the adsorbent and increased diffusion of heavy metal ions into the adsorbent. The positive enthalpies  $\Delta H$ s confirm that the adsorption process is endothermic. In addition, it can be found in Table 6 that the  $\Delta S$  values are positive, suggesting that the randomness increased during adsorption of metal ions because of the release of water molecules from the large hydration shells of the metal ions.

#### 4. Adsorbent regeneration and reuse

The regeneration and the reusability of the adsorbent were investigated for the two contaminants (Table 5(a)(b) and 6(a)(b)), two solutions were used for the regenerate 0.4g of the adsorbent was placed in 30 ml of 0.1M sulfuric acid solution and 0.1M hydrochloric acid and the desorption time was from 10 min to 24 h, as we can see in the table below the Pb ions were almost removed from the adsorbent in hydrochloric solution but it didn't desorb from the adsorbent in sulfuric acid solution, the Cr ions showed good desorption efficiency in both solutions.

The reusability of the adsorbent also was investigated by taking the regenerated adsorbent from the first part washed it with water and dried for overnight then it was placed in a 200 ppm solution of chromium and lead ions, as clear in the below tables the adsorbent was reusable, and the adsorbent showed higher adsorption capacity toward the lead ions.

#### 5. Conclusion

A novel adsorbent from the functionalization of asphaltene was prepared to form low-cost material with very good yield. The adsorbent was found to have a good removal efficiency for Cr and Pb ions due to the development of functional groups such as  $-C=O$ ,  $-COOH$ ,  $-C-O$ , and others. These functional groups are responsible for the ion exchange and complexation with the metals. The adsorption process obeyed Langmuir, Freundlich and Temkin isotherm models and, also fitted Lagergren pseudo second-order kinetic model. The positive enthalpies  $\Delta H$ s confirm that the adsorption process is endothermic, the negative free energies  $\Delta G$ s confirm the spontaneity of the process. The good efficiency of the adsorption implies the efficacy in the removal of the heavy metal ions, as well as the good efficiency in desorption, which implies the excellent recovery of the adsorbent. The effective reusability of this adsorbent makes it applicable for industrial water treatment from contaminants. As functionalized asphaltene is metal-free as well as has high surface-active agents influencing the adsorption performance of the materials and this process can open a new avenue to develop sustainable material from the waste.

#### Author contributions

Mohammad Nahid Siddiqui: Conceptualization, Funding acquisition, Writing – original draft preparation, Supervision. Shamsh Pervez: Conceptualization, Writing – original draft preparation, Supervision. Indrapal Karbhal: Writing – review & editing. Princy Dugga: Writing – review & editing, Saravanan Rajendran: Writing – review & editing. Yasmeen Fatima Pervez: Writing – review & editing.

#### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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#### Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.envres.2021.112361>.



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